

Codimension 1 defects, categorified group actions, and condensing fermions

(some parts of this talk are joint work with Z. Wang)

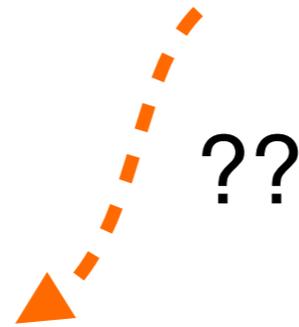
These slides available at <http://canyon23.net/math/talks/>

TQFT



commuting projection
hamiltonian

TQFTs + defects



Outline

1. General theory of constructing a CPH from a TQFT

2. Illustrate general theory in familiar examples

- Dijkgraaf Witten TQFT \rightarrow Kitaev finite group model (any dimension)
- 2+1 dimensional TQFT (Turaev-Viro) \rightarrow 2d Levin-Wen model
- 3+1 dimensional premodular TQFT \rightarrow 3d premodular (WW) model
- $\text{Rep}(G)$ theory \rightarrow high dimensional string net model (any dimension)

3. $G\text{-Rep}(G)$ duality \rightarrow duality between premodular WW model and modular WW model with G action (SET/SPT)

4. Categorized group actions on trivial theories \rightarrow more SPT; relation to twisted Dijkgraaf-Witten theory

5. Condensing fermions via codimension 1 defect with Spin structure \rightarrow super Levin-Wen model

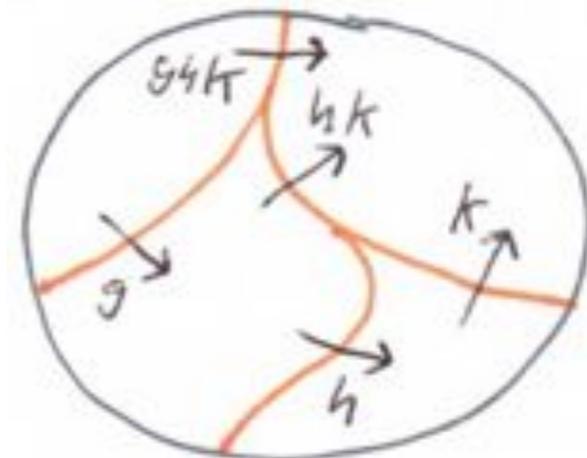
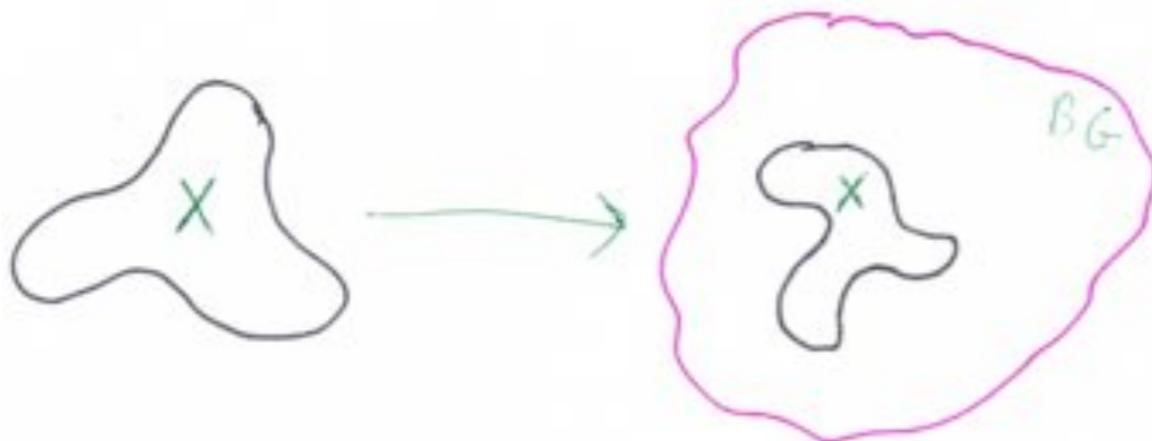
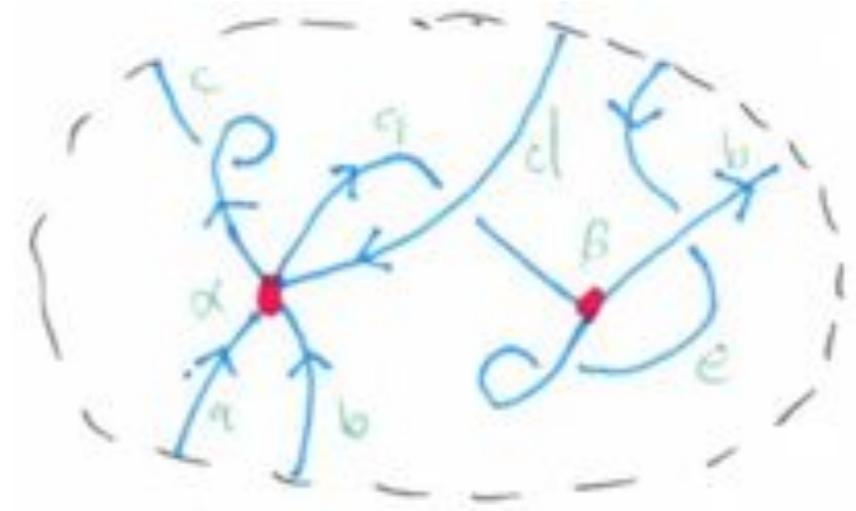
General theory (omitting most of the details)

n-category: very roughly, a system for drawing pictures in n dimensions

$\mathcal{F}(X)$ - “fields” or “pictures” on X

Main examples:

- $\mathcal{F}(X) = \{\text{all maps from } X \text{ to } BG\}$ (or $\{\text{flat } G \text{ bundles over } X\}$ or $\{G\text{-foam pictures drawn on } X\}$)
- $\mathcal{F}(X) = \{\text{generalized string nets drawn on } X\}$

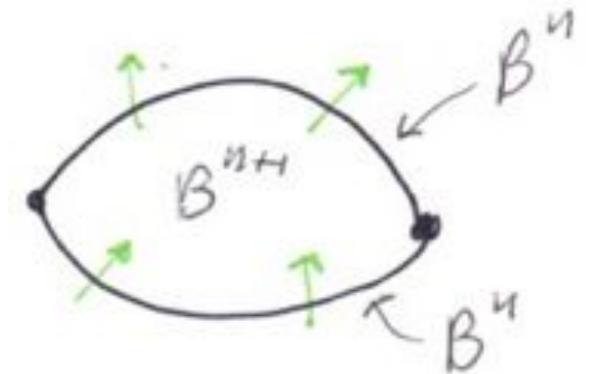


An n -category is the local part of an $n+1$ -dimensional TQFT; an $n+1$ -dimensional TQFT is a global version of an n -category

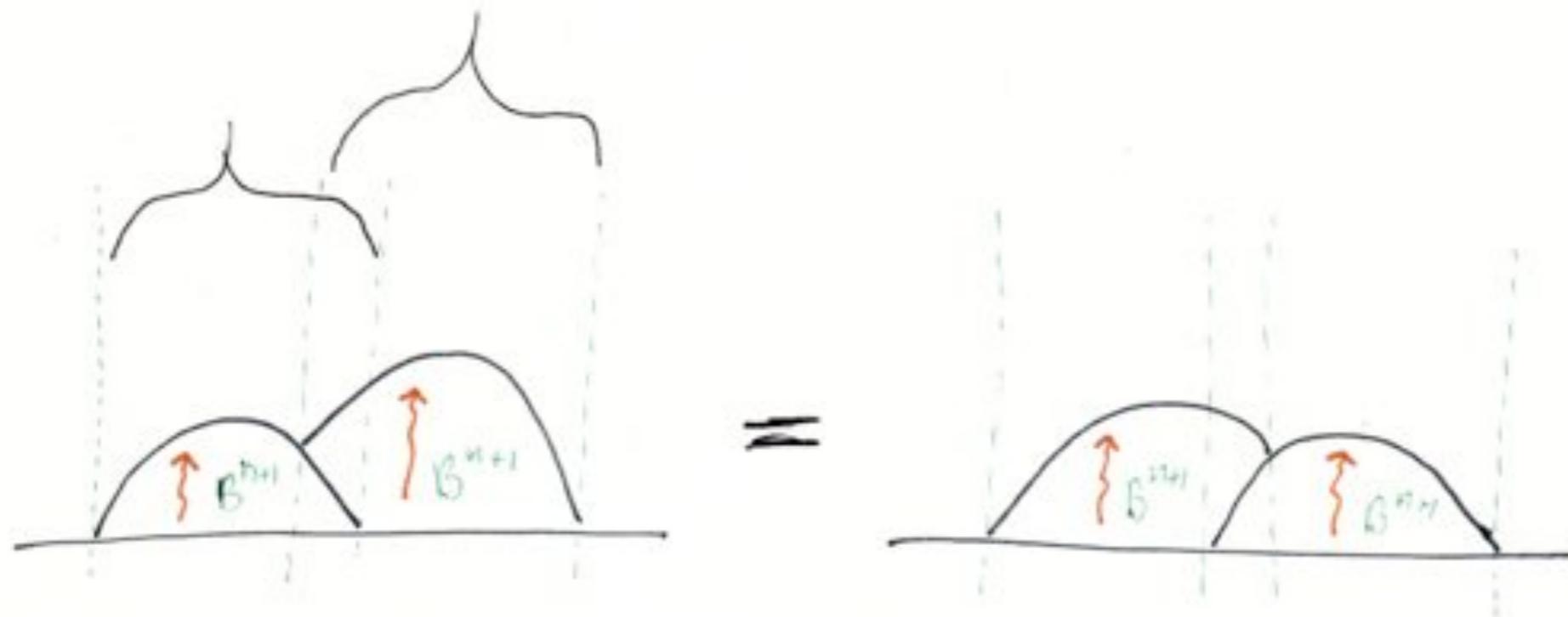
A TQFT has two basic building blocks: the **fields** and **local projections** (dually, **local relations**). Everything else is built from these ingredients.

- The local projections are one version of the path integral of the $n+1$ -ball

$$Z(B^{n+1}) : Z(B^n) \rightarrow Z(B^n)$$



Local projections commute, so TQFTs have (continuous, non-lattice) commuting projections built in from the start.

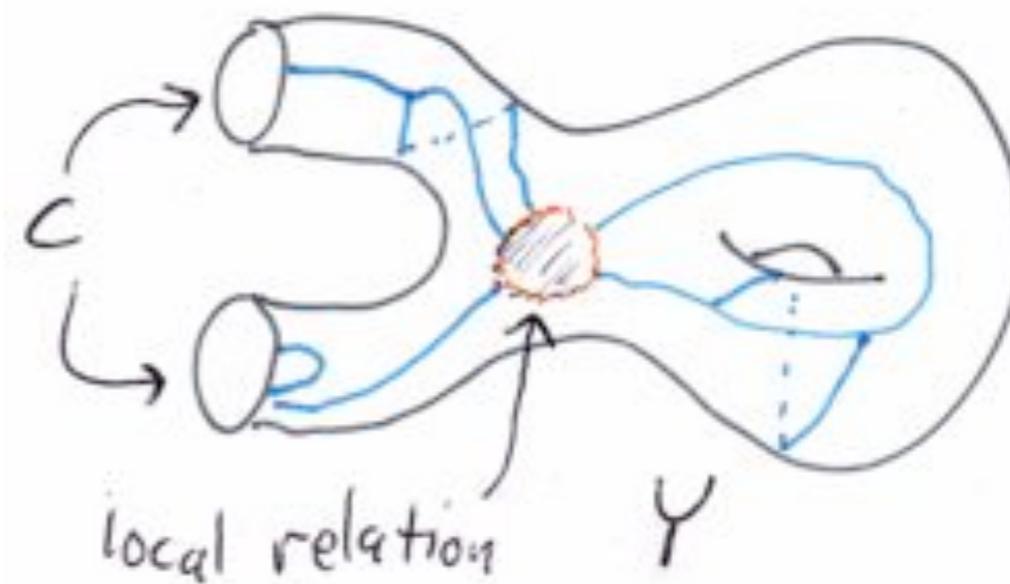


Two basic constructions

Hilbert space

- For Y an n -manifold and c a boundary condition in $\mathcal{F}(\partial Y)$, define the (pre) dual Hilbert space $A(Y; c)$ to be finite linear combinations of fields in $\mathcal{F}(Y; c)$ modulo local relations.

We will see in a moment that this Hilbert space can be identified with the ground state of an associated commuting projection hamiltonian



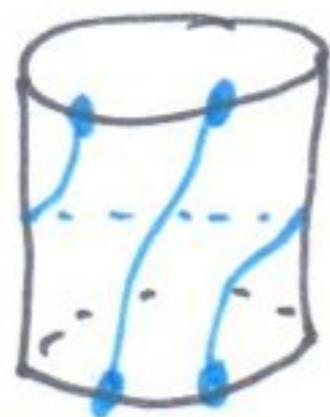
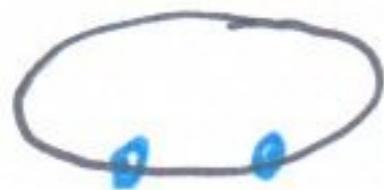
Cylinder category

- For Y an $(n-1)$ -manifold and $c \in \mathcal{F}(\partial Y)$, define $A(Y; c)$ to be the 1-category

objects : $\mathcal{F}(Y; c)$

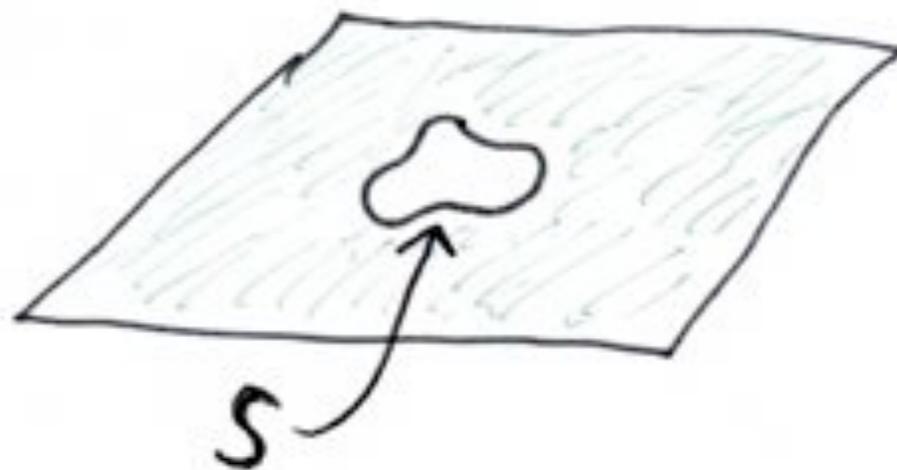
morphisms $a \rightarrow b$: $A(Y \times I; a, b)$

composition : stacking

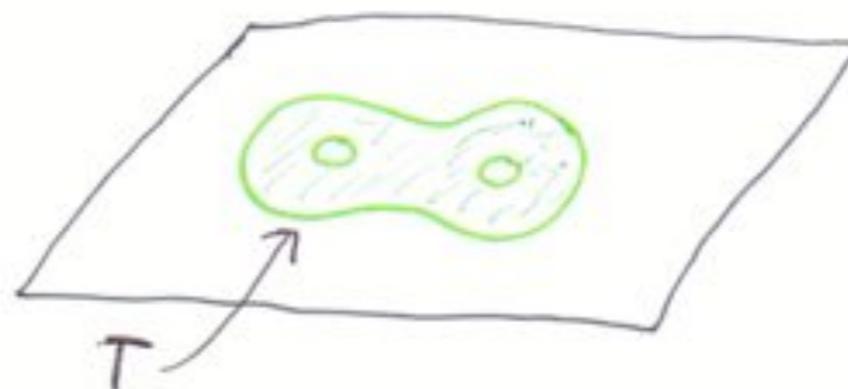
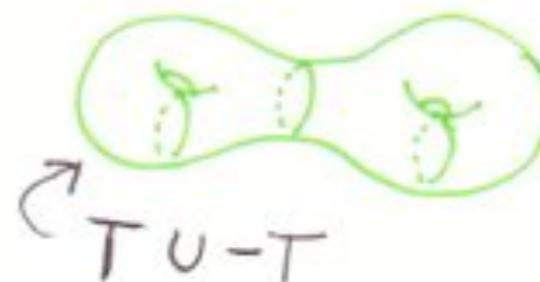


Without analyzing the hamiltonian, we know:

- $\{\text{Quasiparticles with boundary } S\} \longleftrightarrow \{\text{irreps of } A(S)\}$



- $\{\text{Operators with footprint } T\} \longleftrightarrow A(T \cup -T)$



Local description of the Hilbert space

Commuting projection hamiltonian

First consider cutting into two pieces

- Standard results tell us

$$A(Y_1 \cup_S Y_2) \cong A(Y_1) \otimes_{A(S)} A(Y_2) \cong \left[\bigoplus_{c \in \mathcal{F}(S)} A(Y_1; c) \otimes A(Y_2; c) \right] / \langle ax \otimes b \sim a \otimes xb \rangle$$

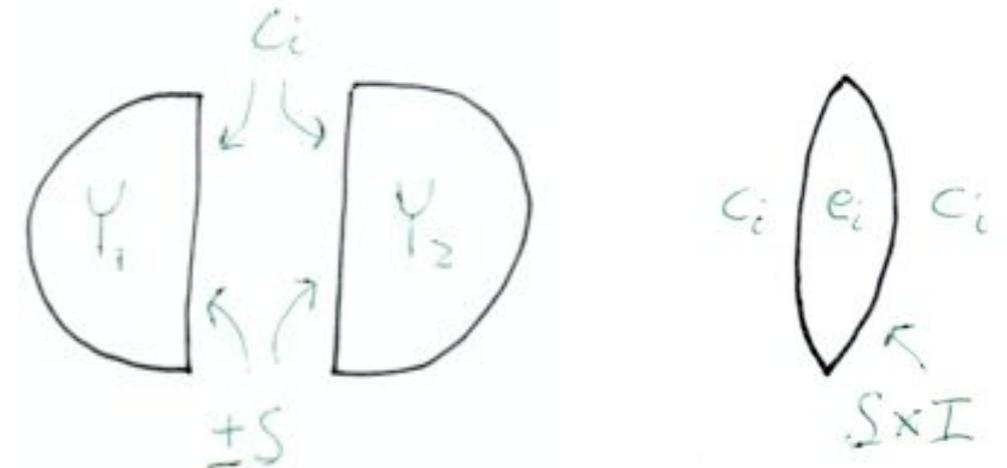
- If $A(S)$ is semisimple, then the above simplifies to

$$A(Y_1 \cup_S Y_2) \cong \bigoplus_i \pi_{e_i} (A(Y_1; c_i)) \otimes \pi_{e_i} (A(Y_2; c_i)),$$

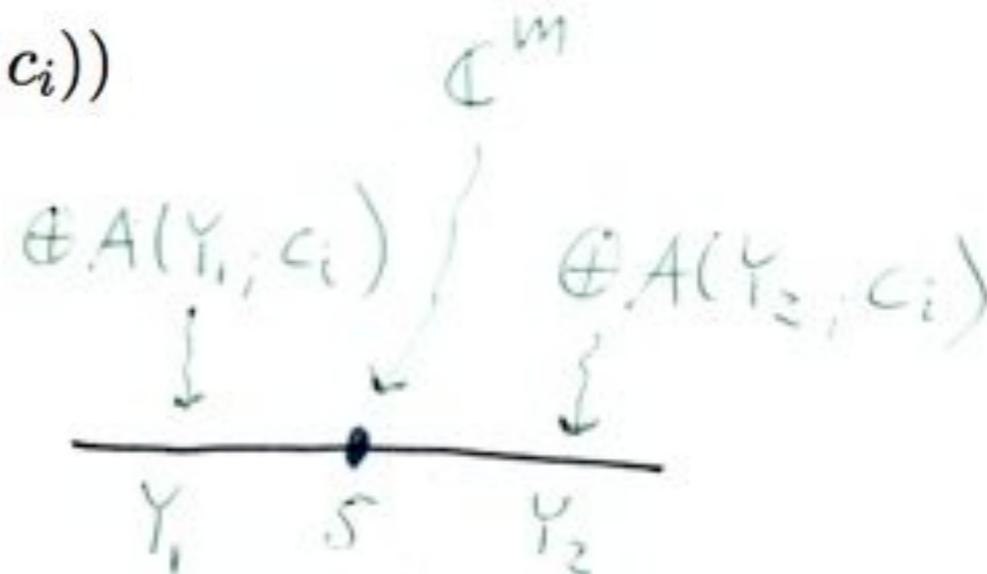
where

$$\{e_i : c_i \rightarrow c_i\}, \quad 1 \leq i \leq m$$

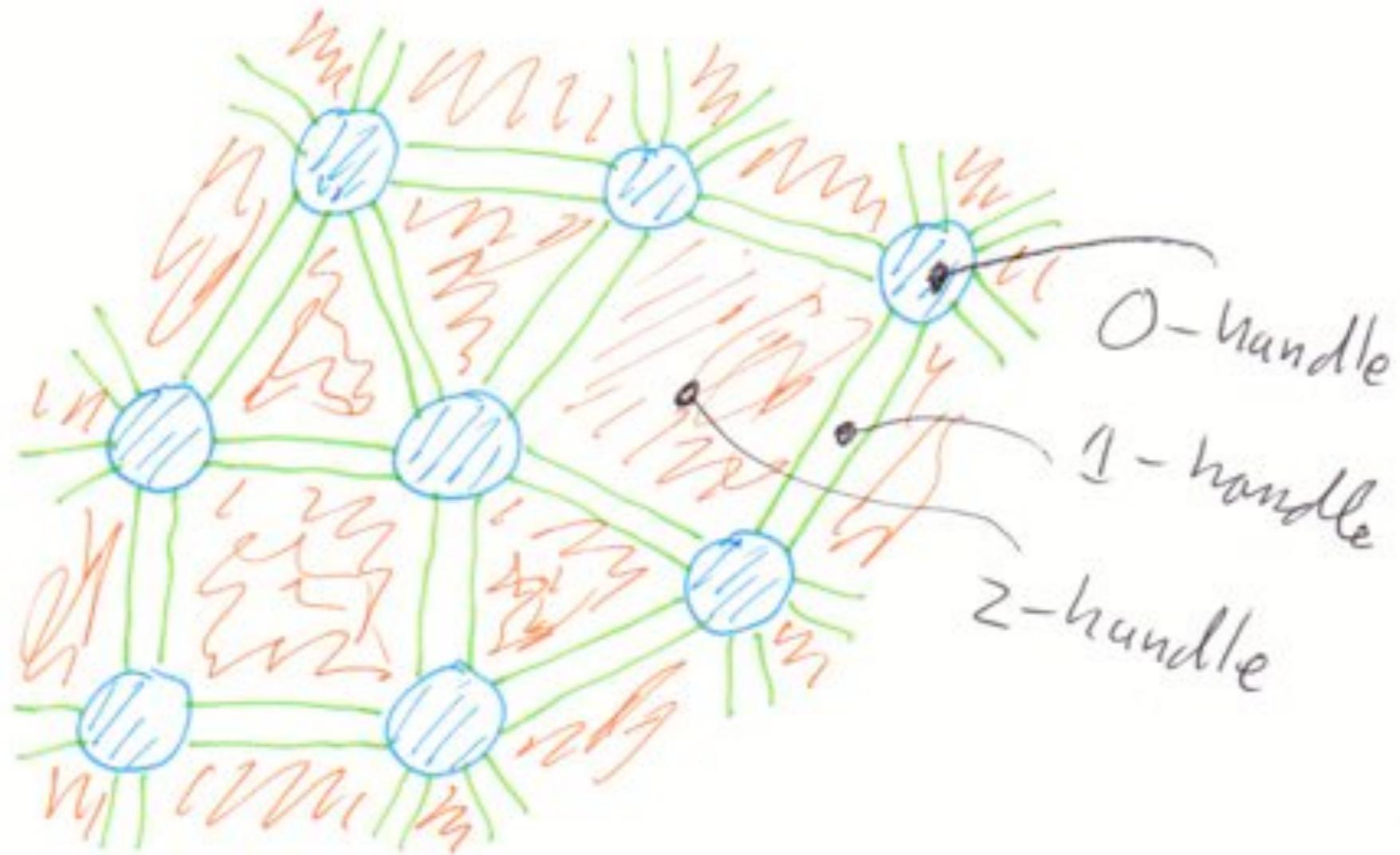
- Note that now everything is defined in terms of Hilbert spaces defined entirely on one side or the other, plus some projections.
- We can reinterpret this as the ground state for a commuting projection hamiltonian...



$$A(Y_1 \cup_S Y_2) \cong \bigoplus_i \pi_{e_i} (A(Y_1; c_i)) \otimes \pi_{e_i} (A(Y_2; c_i))$$



- We have spins (degrees of freedom) \mathbb{C}^m associated to the cut S , and $\bigoplus_i A(Y_j; c_i)$ associated to Y_j .
- For lack of better nomenclature, I'll call \mathbb{C}^m "idempotent" degrees of freedom and $A(Y_j; c_i)$ "vertex" degrees of freedom. Note that \mathbb{C}^m has a preferred basis corresponding to the idempotents, while the choice of basis of $A(Y_j; c_i)$ might be arbitrary.
- The hamiltonian has two sorts of projections: "idempotent" projections corresponding to π_{e_i} above, and "bookkeeping" projections which ensure that the indices i match up and $A(Y_j; c_i)$ is non-zero.
- If $A(Y_j; c_i)$ is 1-dimensional, then can ignore that vertex degree of freedom. Similarly, if $A(Y_j; c_i) = 0$ for all but one i , then we can ignore the corresponding idempotent degree of freedom.



Applying the above procedure repeatedly to handle decomposition of Y produces a lattice commuting projection hamiltonian.

All of the specific hamiltonian examples in this talk come from applying the above procedure. In other words, this is a uniform way of deriving all of these hamiltonians.

Familiar examples...

1. Dijkgraaf-Witten theory for finite group G

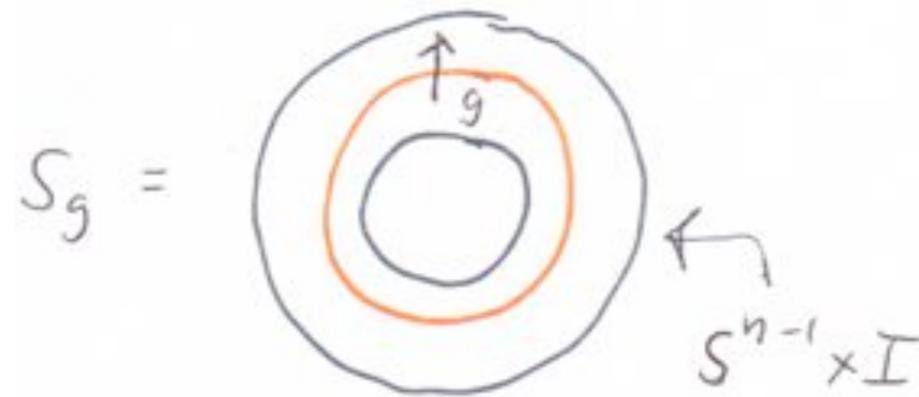


Kitaev finite group model

- For attaching n -handles, the relevant category is $A(S^{n-1})$, which is Morita equivalent to the group algebra $\mathbb{C}[G]$ when $n \geq 3$.
- The only idempotent in $A(S^{n-1})$ which is non-zero in the n -handle is

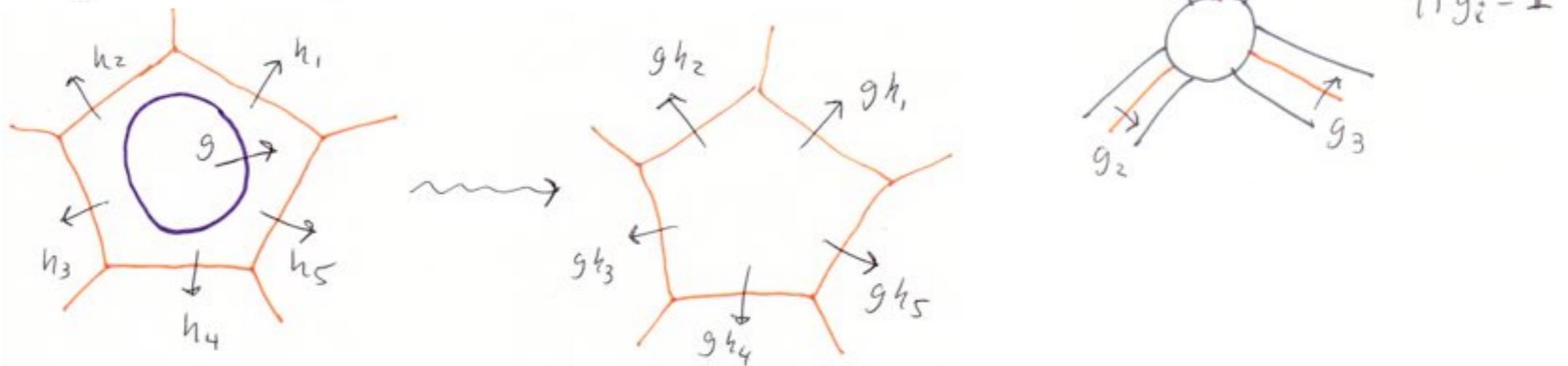
$$\frac{1}{|G|} \sum_{g \in G} s_g,$$

so attaching n -handles leads to terms in the hamiltonian corresponding to this idempotent and no degrees of freedom.

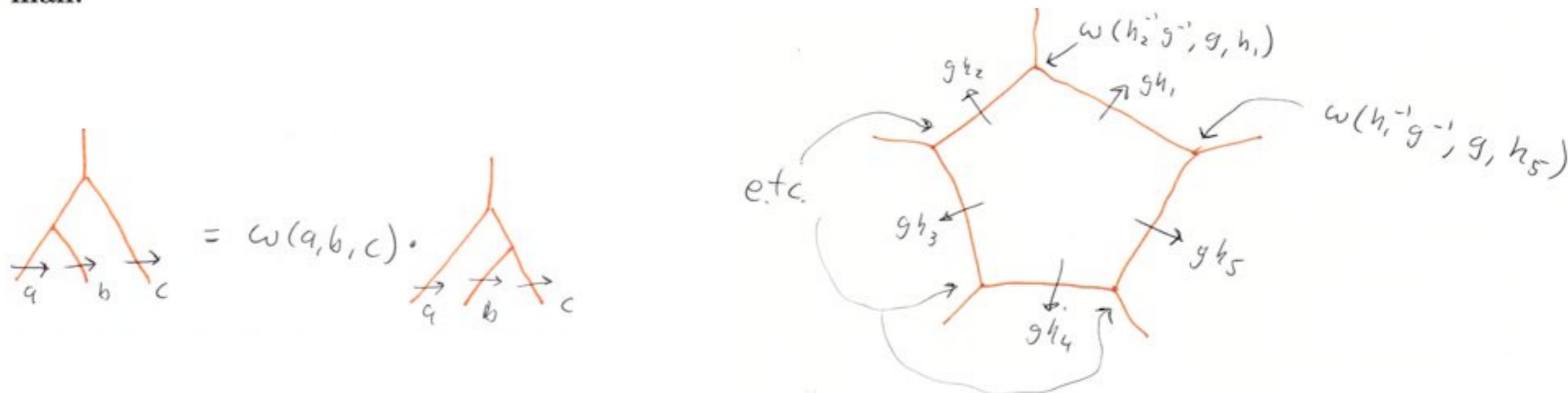


- For attaching $(n-1)$ -handles, the relevant category is $A(S^{n-2} \times I; \emptyset)$. This category has a (very simple) idempotent for each $g \in G$. So we get degrees of freedom \mathbb{C}^G for each $(n-1)$ -handle.
- The $(n-2)$ -handles lead to bookkeeping restrictions $\prod_i g_i = 1$ around each $(n-2)$ -handle.
- None of the higher codimension handles contributes anything interesting to the hamiltonian.

- The operator s_g acts as follows:



- If we have a symmetric, normalized cocycle $\omega \in C^{n+1}(BG, U(1))$, we can twist the hamiltonian.



2. Turaev-Viro TQFT (generic 2+1-dimensional TQFT)

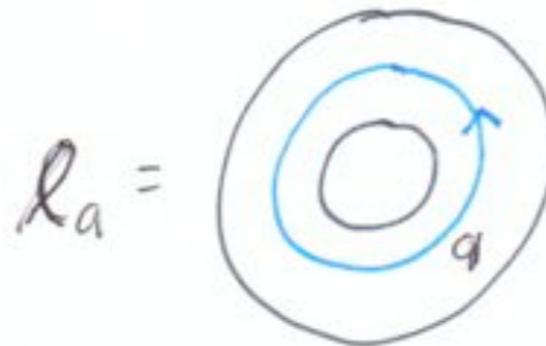


2d Levin-Wen hamiltonian

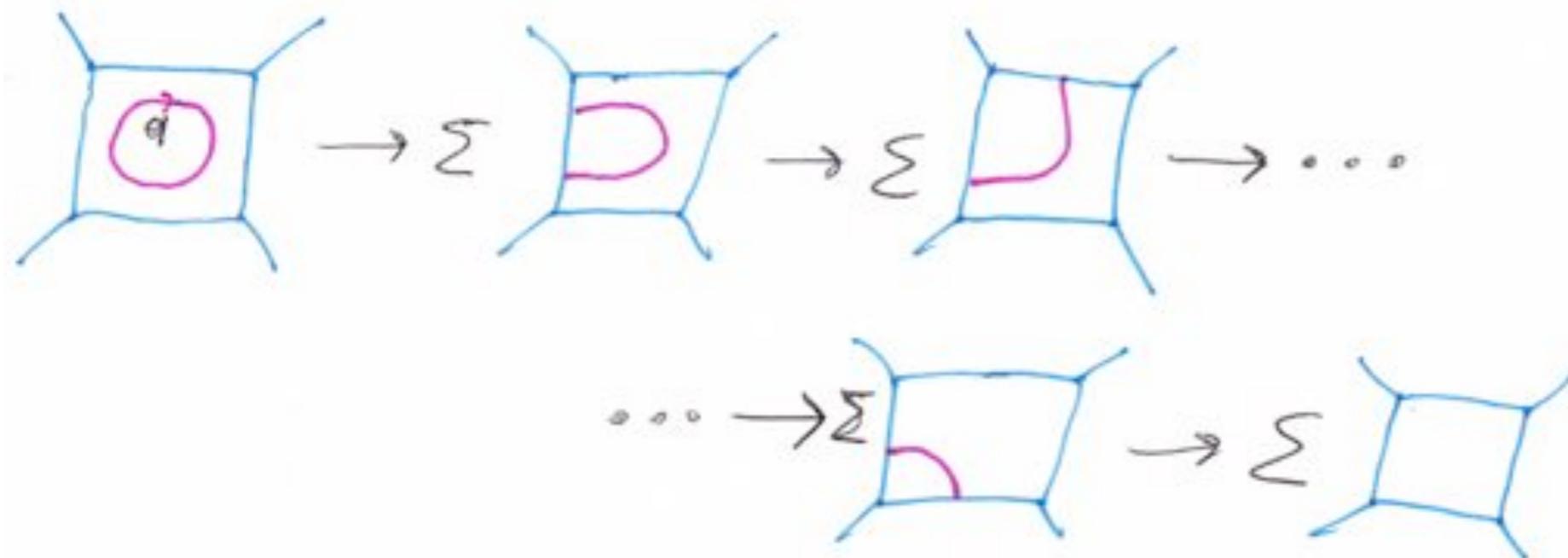
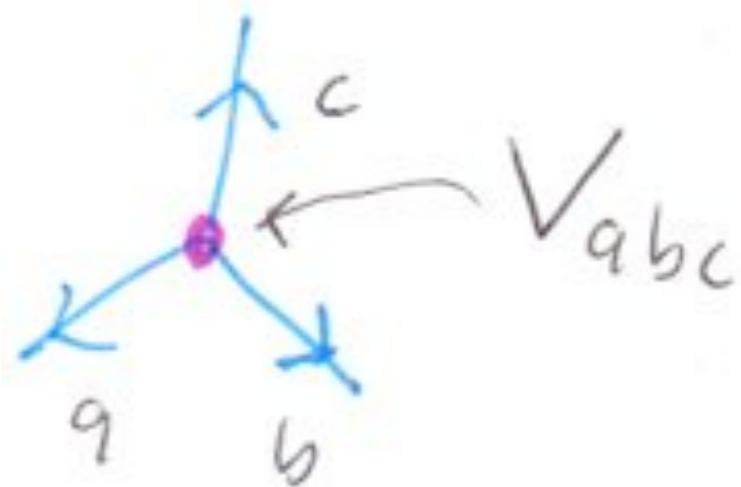
- The input for a Turaev-Viro TQFT is a strict pivotal 2-category (e.g. tensor category) C .
- For attaching 2-handles, the relevant category is $A(S^1)$. The only idempotent in $A(S^1)$ which is non-zero in the 2-handle is

$$\frac{1}{D} \sum_a d_a l_a.$$

(The sum is over simple objects of C , d_a is the quantum dimension, and $D = \sum_a d_a^2$.)



- So attaching 2-handles leads to terms in the hamiltonian corresponding to this idempotent and no degrees of freedom.
- For attaching 1-handles, the relevant category is $A(I; \emptyset)$. This Morita equivalent to C as a 1-category and has a (very simple) idempotent for each simple object a of C . So we get degrees of freedom \mathbb{C}^m for each 1-handle, where m is the number of simple objects.
- The 0-handles contribute additional degrees of freedom V_{abc} . We get bookkeeping terms in the hamiltonian when $V_{abc} \cong 0$.

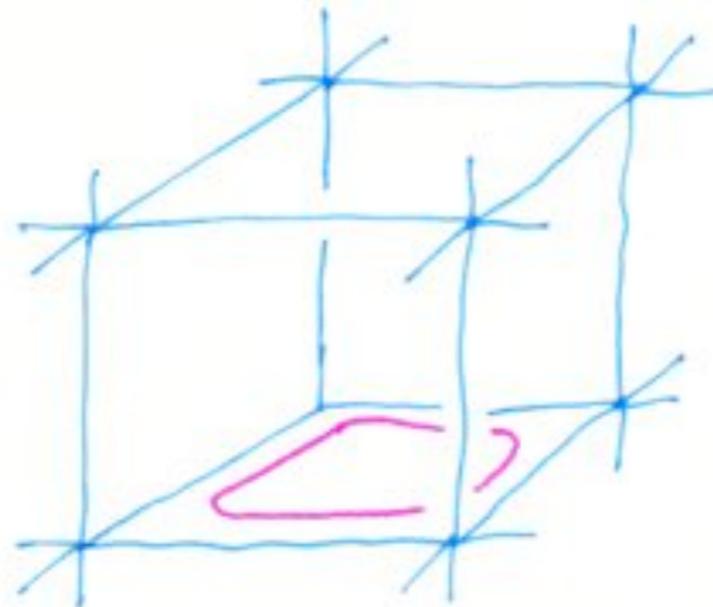


3. Premodular 3+1-dimensional TQFT



3d premodular (WW) hamiltonian

- The input for a premodular TQFT is a premodular category (spherical braided unitary fusion category) \mathcal{C} .
- The 3-handles contribute nothing interesting to the hamiltonian.
- The 0-, 1- and 2-handles play roles very similar those of the Levin-Wen model. The only complication is that we must take braidings into account when computing the action of the loop operator l_a .



- Let $T \subset C$ is the transparent subcategory generated by objects which braid trivially is everything. Either $T \cong \text{Rep}(G)$ or $T \cong \text{Rep}(G, \alpha)$, where G is a finite group and (G, α) is a super group.
- C is modular if and only if T is trivial. In this case $A(M)$ is 1-dimensional for any closed 3-manifold M . So modular categories are almost trivial.
- But not quite trivial: they give rise to non-trivial 4-manifold invariants (signature) and non-trivial central extensions of the mapping class groups of surfaces. Additionally, one can extract all of the information of a Chern-Simons (2+1)-dimensional TQFT from the modular (3+1)-dimensional TQFT.
- Theorem: If C is modular then $A_C(S^1)$ is Morita equivalent to the trivial 2-category. (This accounts for the almost-triviality of C .)
- Conjecture: In the general case where C is premodular, $A_C(S^1)$ is Morita equivalent to $A_T(S^1)$ as 2-categories. (In the case where $T \cong \text{Rep}(G)$, this would imply that the C theory is very similar to (3+1)-dimensional Dijkgraaf-Witten theory for G .)

4. $\text{Rep}(G)$ $n+1$ -dimensional TQFT (any n)

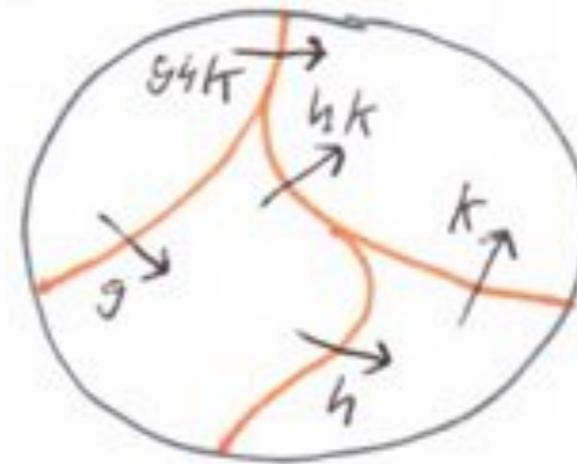
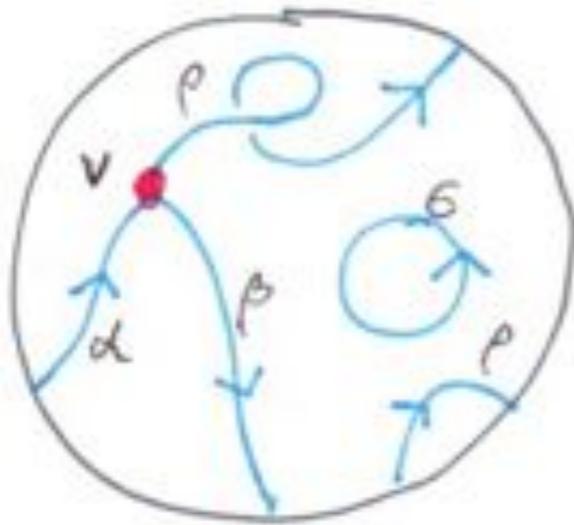


n -dim'l $\text{Rep}(G)$ hamiltonian (name?)

- The input is the symmetric monoidal category $R = \text{Rep}(G)$.
- The handles of index 3 and higher contribute nothing interesting to the hamiltonian.
- The 0-, 1- and 2-handles play roles very similar those of the Levin-Wen and premodular models. As in the premodular model, we must take braidings into account when computing the action of the loop operator l_a .

G-Rep(G) duality and 3d SET/SPT

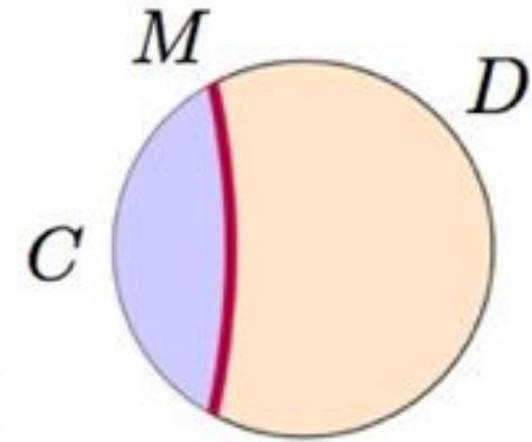
- We can construct n -categories from both G and $R = \text{Rep}(G)$. For G , the non-trivial morphisms are all in degree 1. For R , the non-trivial morphisms are in degrees $n - 1$ and n .
- These two n -categories are not functor-equivalent, but we will see that they are Morita equivalent.



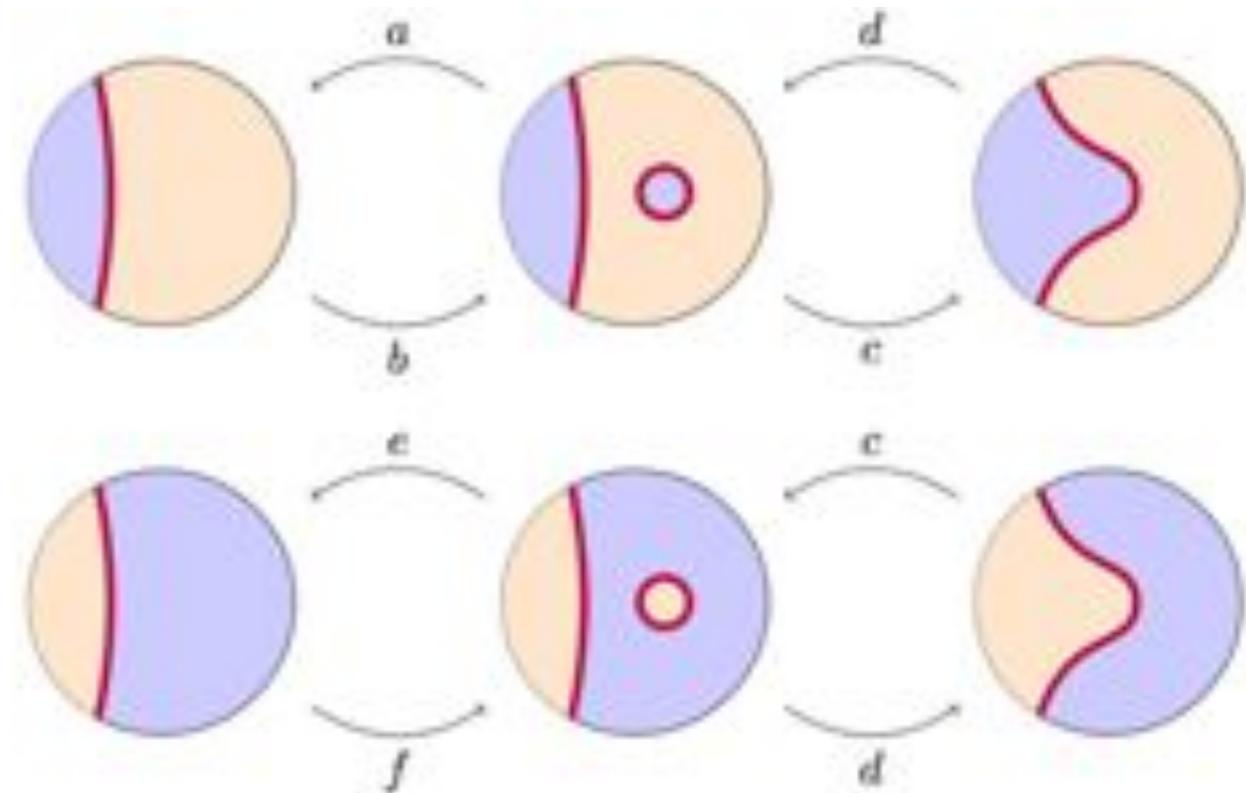
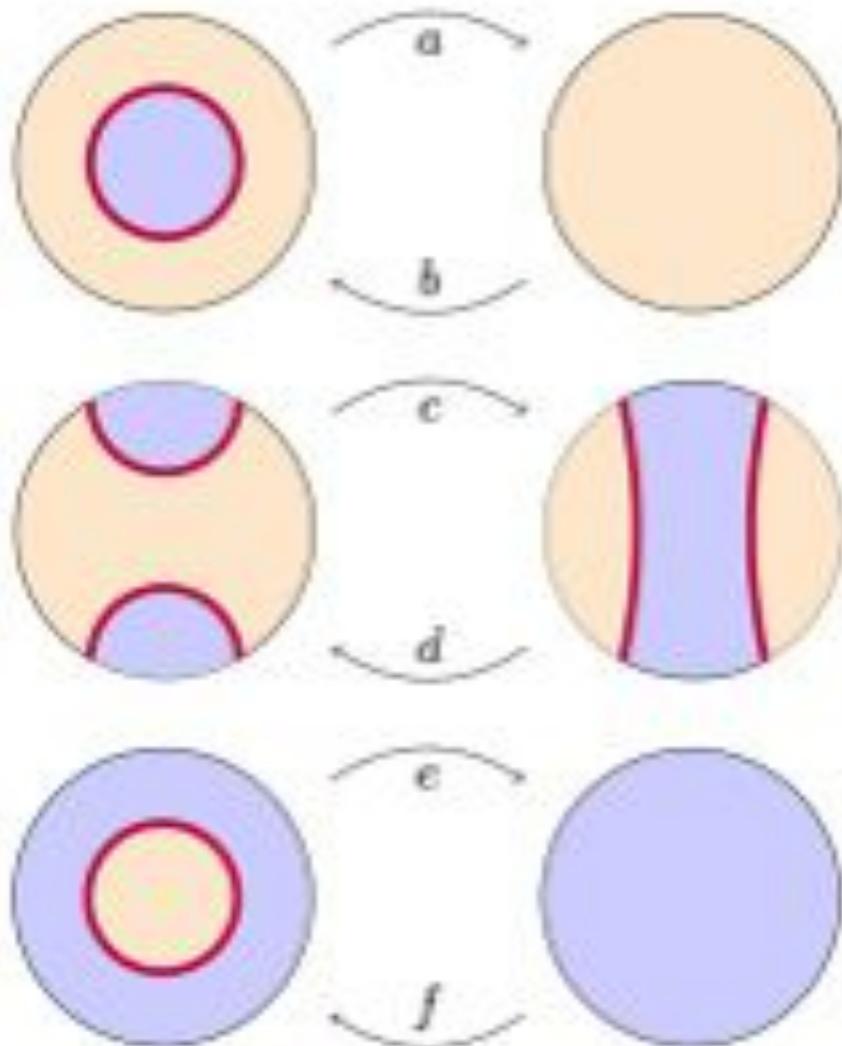
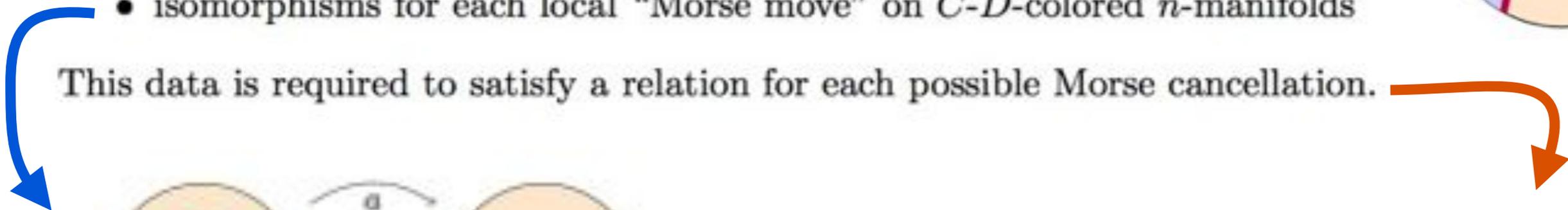
Morita equivalence for n -categories with duality

Definition. A Morita equivalence between n -categories C and D consists of

- a C - D bimodule M
- isomorphisms for each local “Morse move” on C - D -colored n -manifolds



This data is required to satisfy a relation for each possible Morse cancellation.

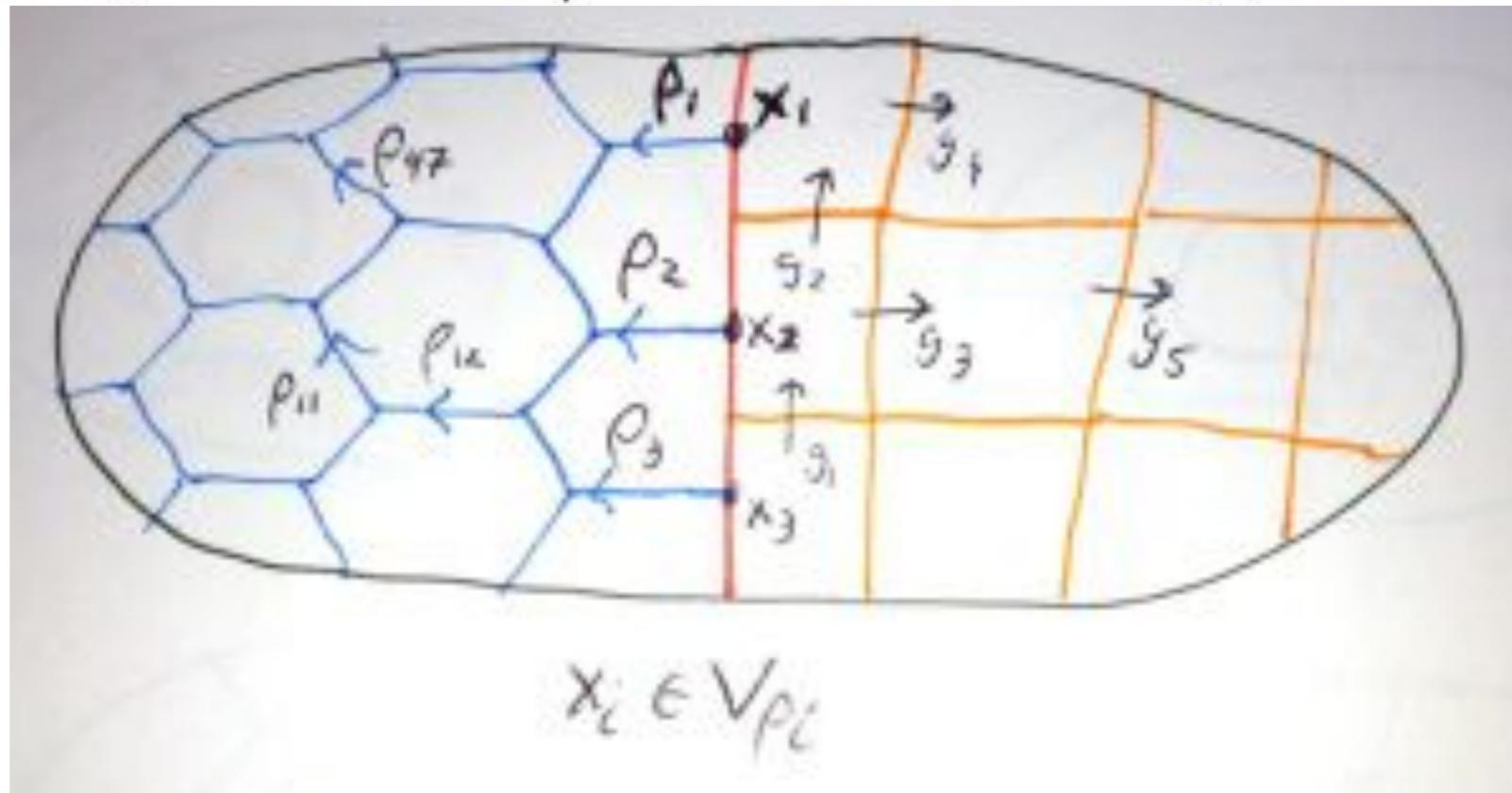


- Morita equivalent n -categories give rise to equivalent $(n+\epsilon)$ -dimensional TQFTs. More specifically, for any closed $(n-k)$ -manifold Y , $A_C(Y)$ and $A_D(Y)$ are Morita equivalent k -categories.
- Note that because of duality we specify much less data than in a typical Morita equivalence definition.
- If we equip the bimodule M with an inner product, we can extend the definition of Morita equivalence so that it yields equivalent $(n+1)$ -dimensional TQFTs.

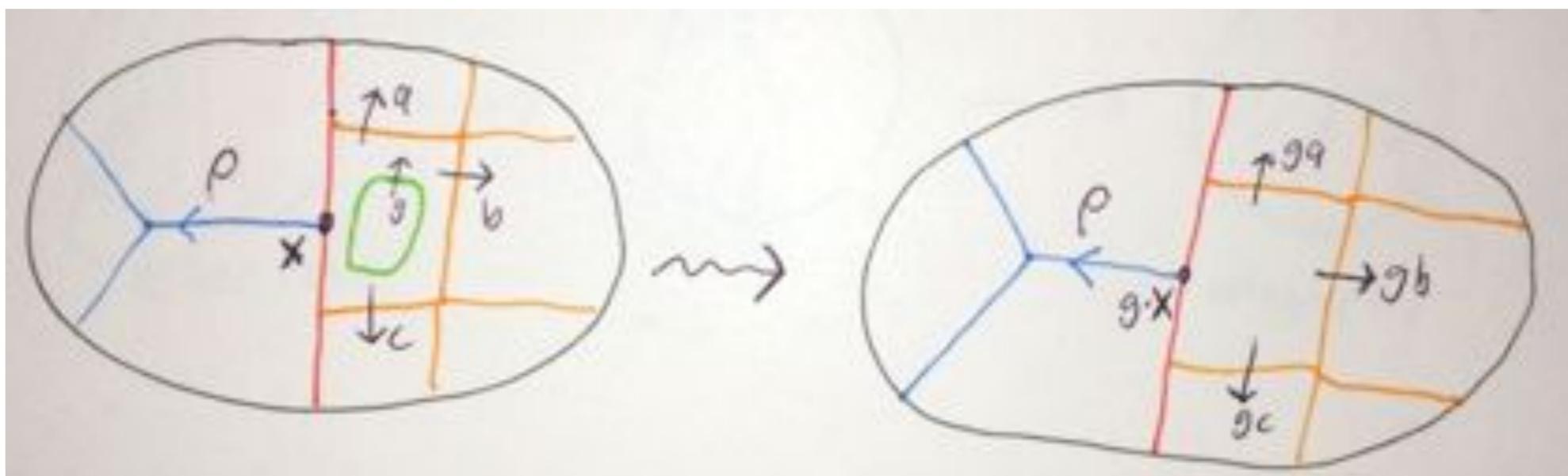
Theorem. The bimodule F_{m-1} is a Morita equivalence between G_m and R_m (for any m).

The proof boils down to the familiar fact that $\mathbb{C}[G] \cong \bigoplus \text{End}_{\mathbb{C}}(\rho)$, both as commutative algebras and $\mathbb{C}[G]$ - $\mathbb{C}[G]$ bimodules.

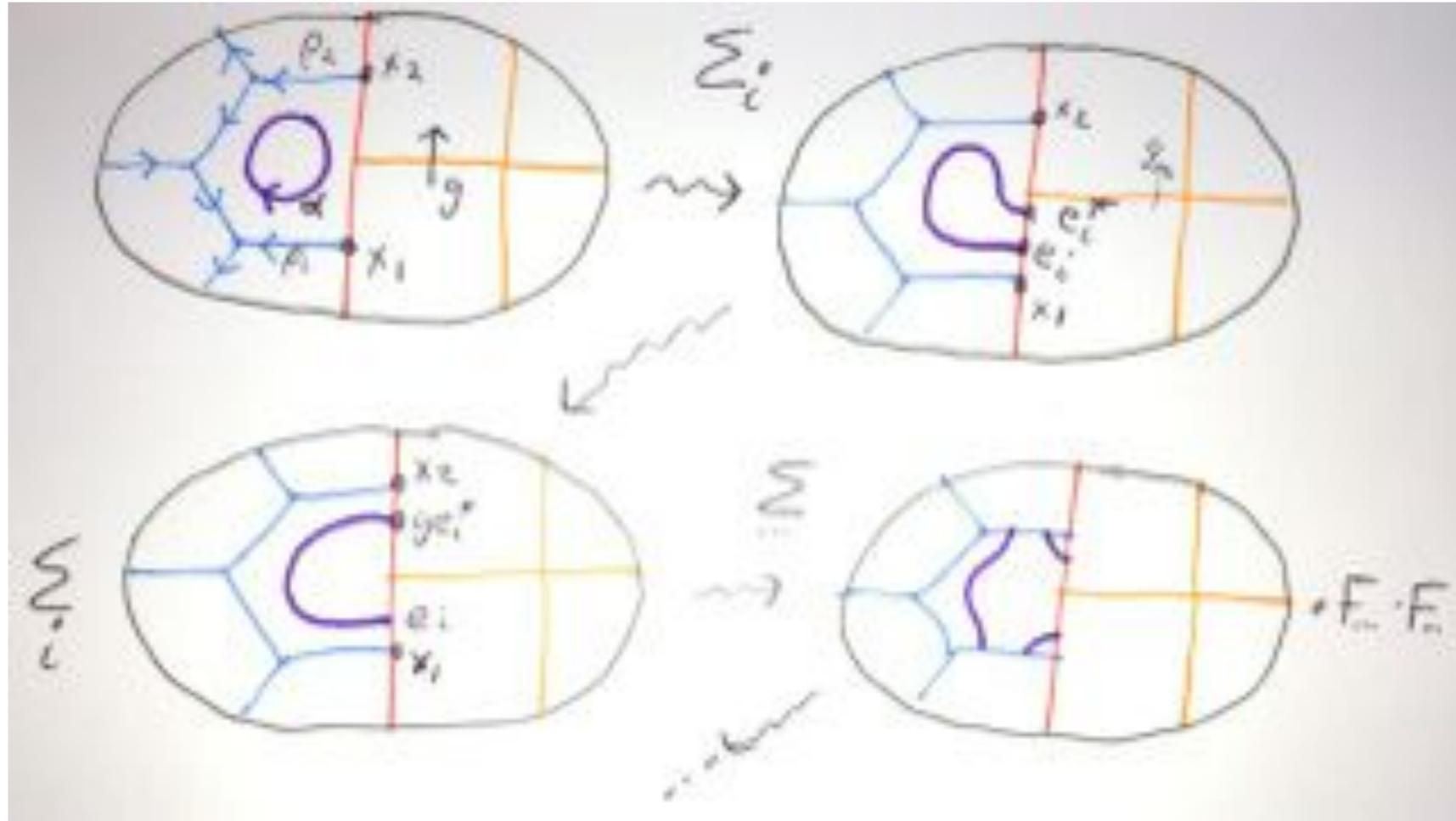
- Using the standard procedure, we can define a commuting projection hamiltonian for n -manifolds subdivided into G parts and R parts, with the codimension-1 defect F separating the two.
- There are new degrees of freedom V_ρ where an R -arc labeled by ρ meets the F wall.



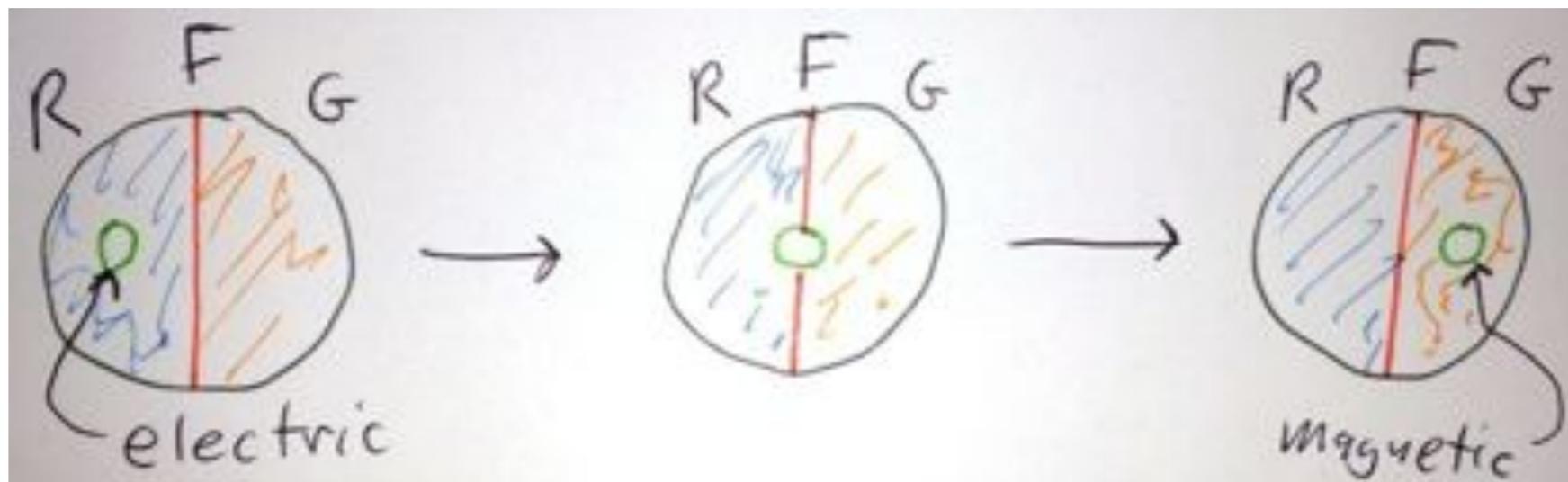
- The n -cell terms of the G hamiltonian acts as follows near F . (Same idempotent, different action.)



- The 2-cell terms of the R hamiltonian acts as follows near F . (Same idempotent, different action.)

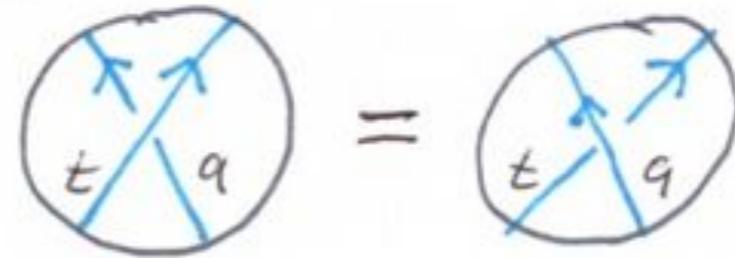


- When $n = 2$ and G is abelian, $G \cong R$ (but not canonically). In this case pushing a quasi-particle through the F domain wall exchanges electric and magnetic particles.

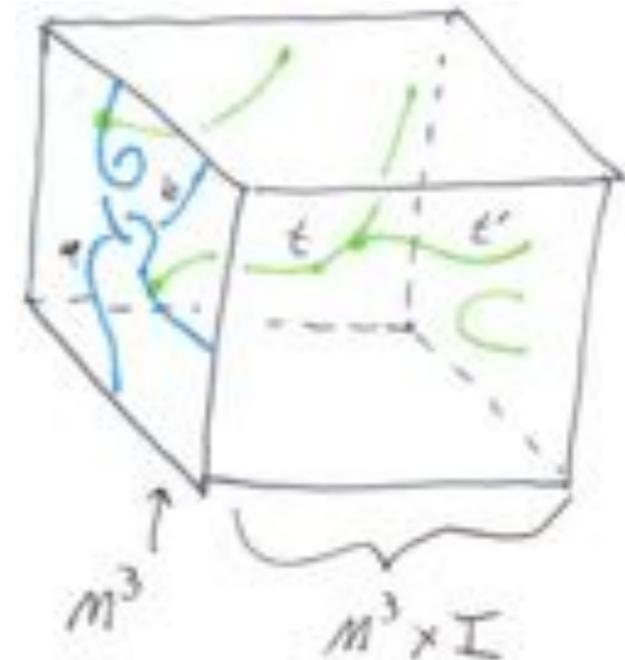


- Recall our the modular category C and the transparent subcategory T . Because T is symmetric monoidal, we can think of it as an m -category for any m , and in particular for $m = 4$. Let T_4 denote the 4-category version of T .

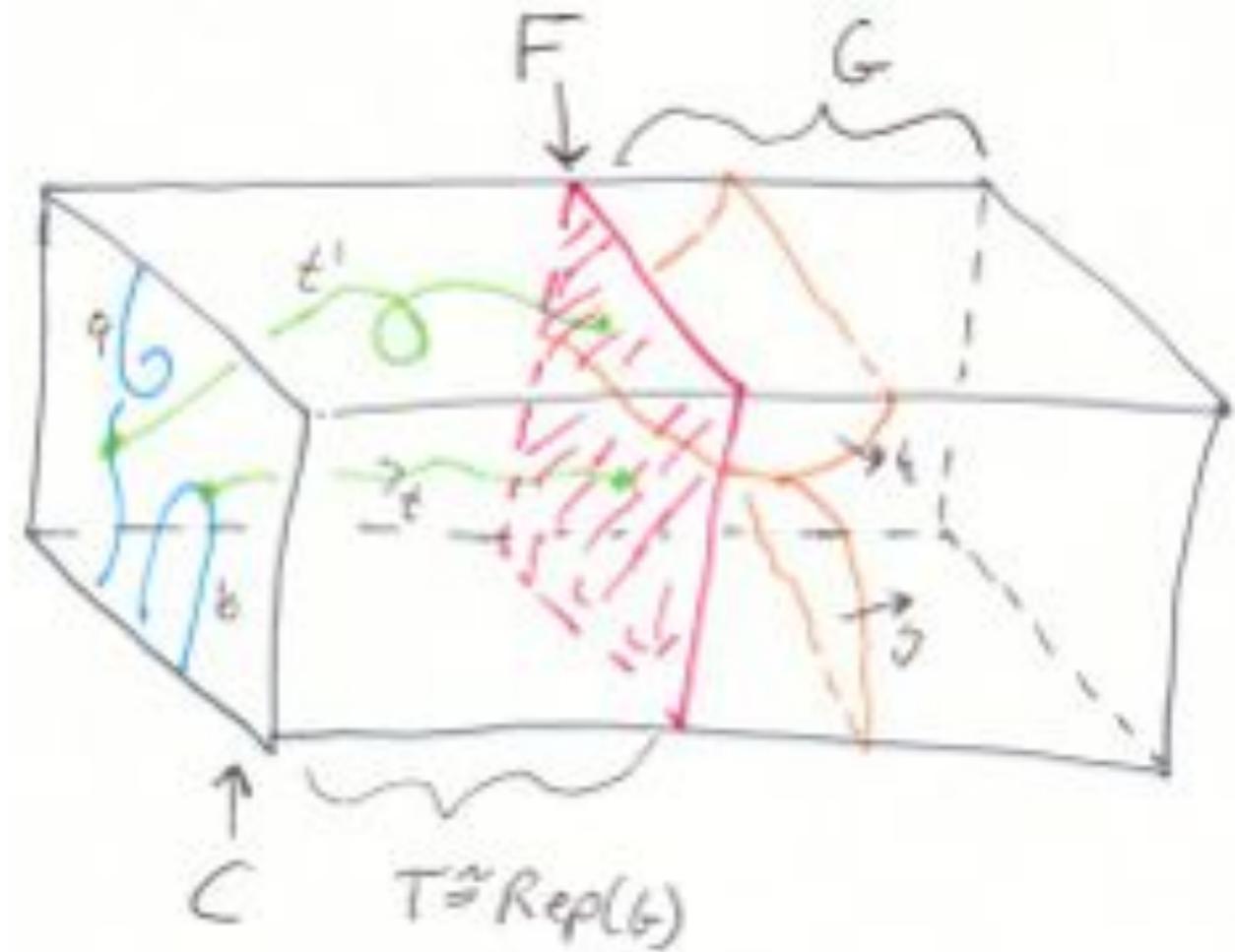
for all a :



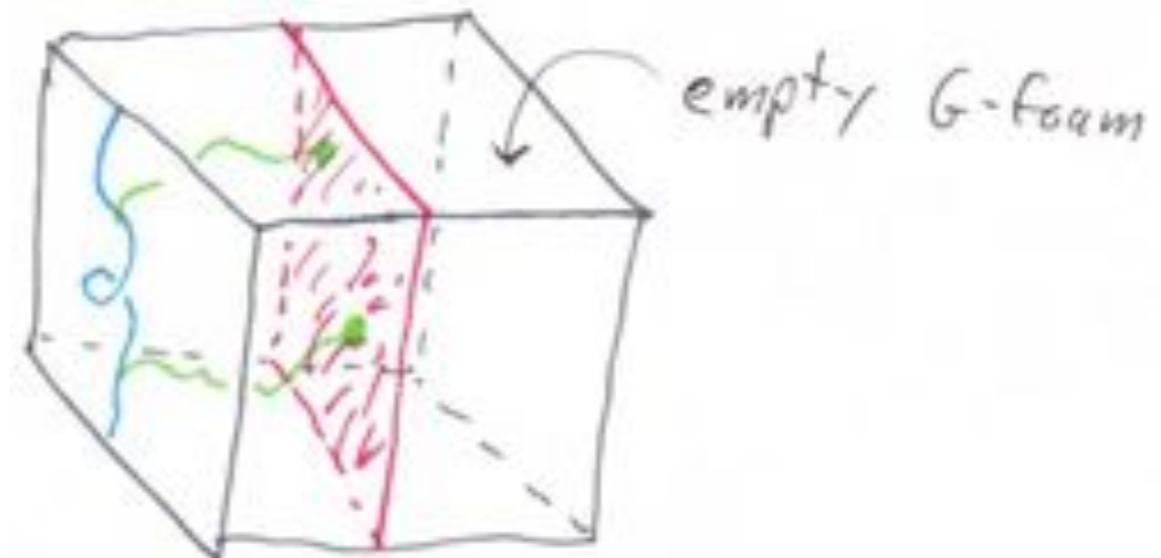
We can think of the 3-category C as a module for the 4-category T_4 . Equivalently, we can think of C as a domain wall between T_4 and the vacuum.

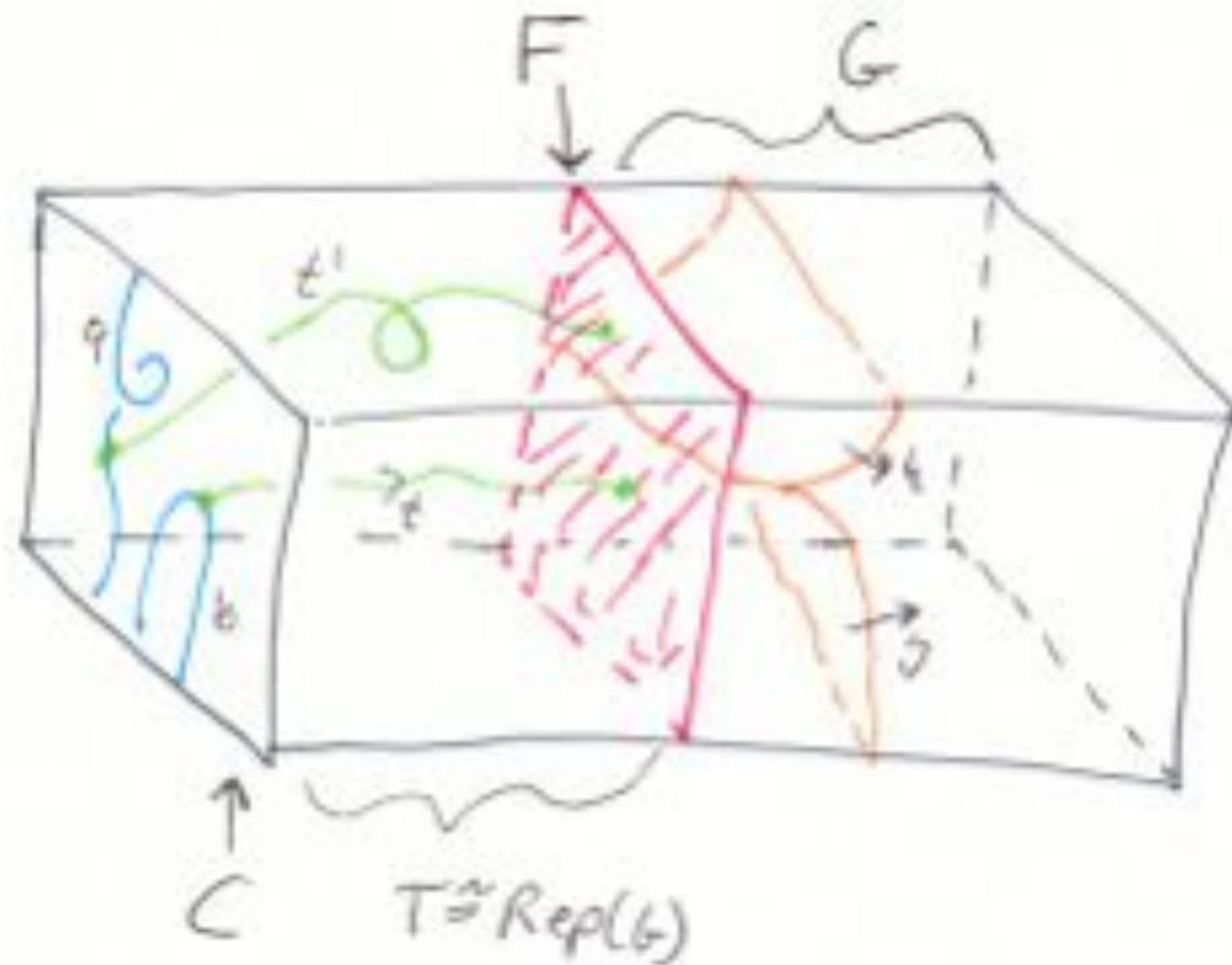


- Recall that $T \cong \text{Rep}(G)$ or $T \cong \text{Rep}(G, \alpha)$. For simplicity, assume that $T \cong \text{Rep}(G)$. We can now apply the domain wall (bimodule) F .
- This gives us a new 3-category $C \otimes_T F$ which has a G_4 action.



It is not hard to show that $C \otimes_T F$ (ignoring the G_4 action) is modular. Tensoring with F killed off all the transparent objects in T .





- Because F is a Morita equivalence (invertible domain wall), this sets up a duality

$$\begin{array}{ccc} \text{premodular categories with} & \longleftrightarrow & \text{modular categories with} \\ \text{transparent subcategory } \cong \text{Rep}(G) & & \text{a } G_4 \text{ action} \end{array}$$

cf. Burnell, Chen, Fidkowski, Vishwanath

SET/
SPT

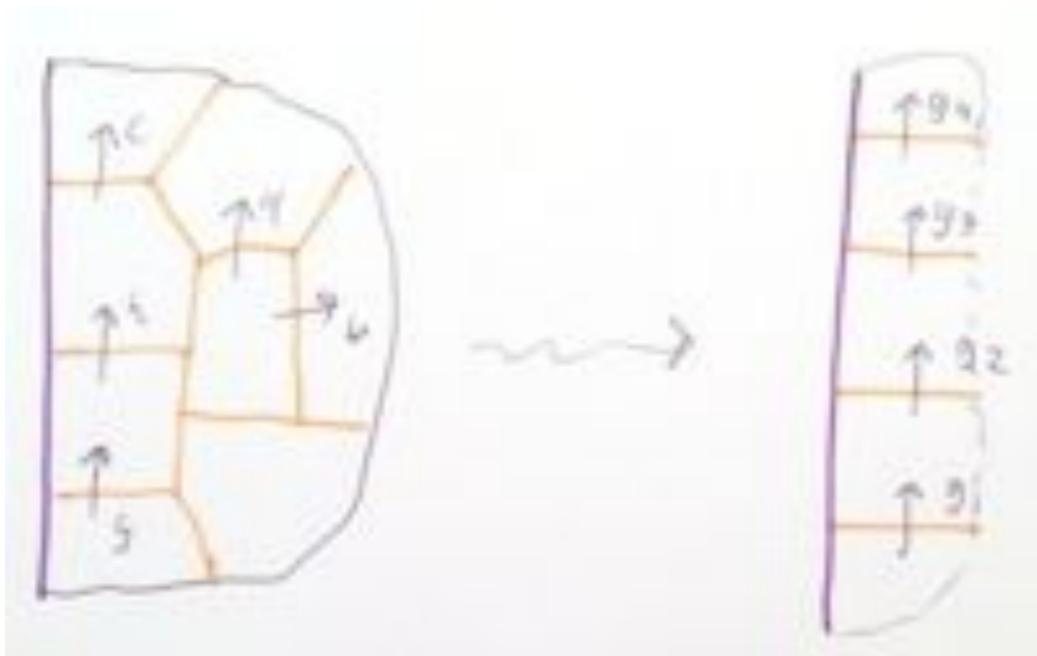
- This is very closely related to the equivariantization/deequivariantization construction of Müger and Bruguières. For example, there is a natural isomorphism

$$\text{Rep}(C \otimes_R F) \cong \text{Equivariantization}(\text{Rep}(C)).$$

Categorified group actions on trivial theories

- Note that having an action of G_m on an $(m-1)$ -category is a very general way of saying that the finite group G acts on C . It is equivalent to having a flat connection on a bundle of $(m-1)$ -categories over the classifying space BG .
- Such a connection assigns an $(m-1)$ -category to each point of BG , a functor to each 1-cell of BG , a 1st order natural transformation to each 2-cell of BG , a 2nd order natural transformation to each 3-cell of BG , and so on up to m -cells. The flatness condition concerns the $(m+1)$ -cells.
- Special case: A G_m action on the trivial linear $(m-1)$ -category is exactly the same thing as a cocycle in $C^m(BG, U(1))$.

- The associated hamiltonian:



$$\begin{array}{|c} \hline \uparrow h \\ \hline \uparrow g \\ \hline \end{array} = \omega(g, h) \cdot \begin{array}{|c} \hline \uparrow gh \\ \hline \uparrow g \\ \hline \end{array}$$

$$\begin{array}{|c} \hline \uparrow g_{i+1} \\ \hline \text{circle} \\ \hline \uparrow g_i \\ \hline \end{array} = \omega(h^{-1}, h)^{-1} \cdot \begin{array}{|c} \hline \uparrow g_{i+1} \\ \hline \uparrow h \\ \hline \downarrow h \\ \hline \uparrow g_i \\ \hline \end{array}$$

$$= \omega(h^{-1}, h)^{-1} \cdot \omega(h, g_{i+1}) \cdot \omega(g_i, h^{-1})$$

$$\begin{array}{|c} \hline \uparrow hg_{i+1} \\ \hline \uparrow g_i h^{-1} \\ \hline \end{array}$$

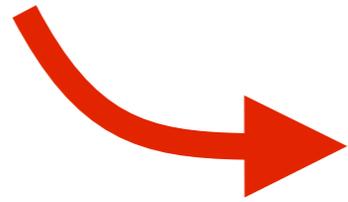
cf. Gu, Wen, ...

- Recall that given a cocycle in $C^m(BG, U(1))$ we can construct a twisted $(m-1)$ -dimensional Dijkgraaf-Witten theory.

- Key observation: twisted $(m-1)$ -dimensional Dijkgraaf-Witten theory is a module for untwisted m -dimensional Dijkgraaf-Witten theory; we can think of twisted $(m-1)$ -dimensional Dijkgraaf-Witten theory as a codimension-1 defect between untwisted m -dimensional Dijkgraaf-Witten theory and the vacuum.

- Put another way: If we **gauge out** the G_m symmetry on the trivial $(m-1)$ -category (described by some m -cocycle), the result is twisted $(m-1)$ -dimensional Dijkgraaf-Witten theory (described by that same cocycle).

n-category

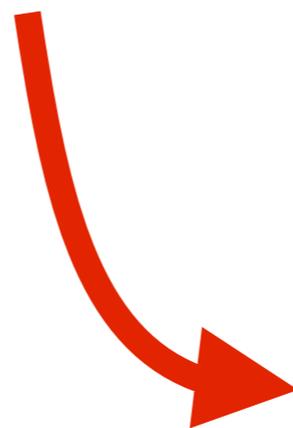


n-dimensional topological phase

n-category with (categorified) G action



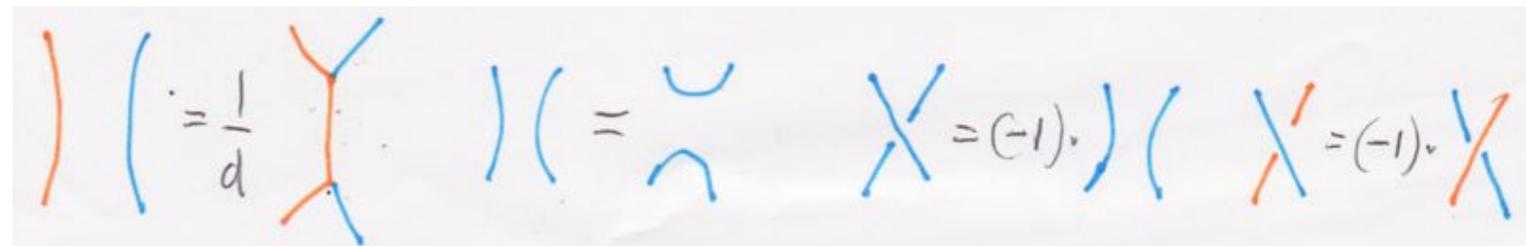
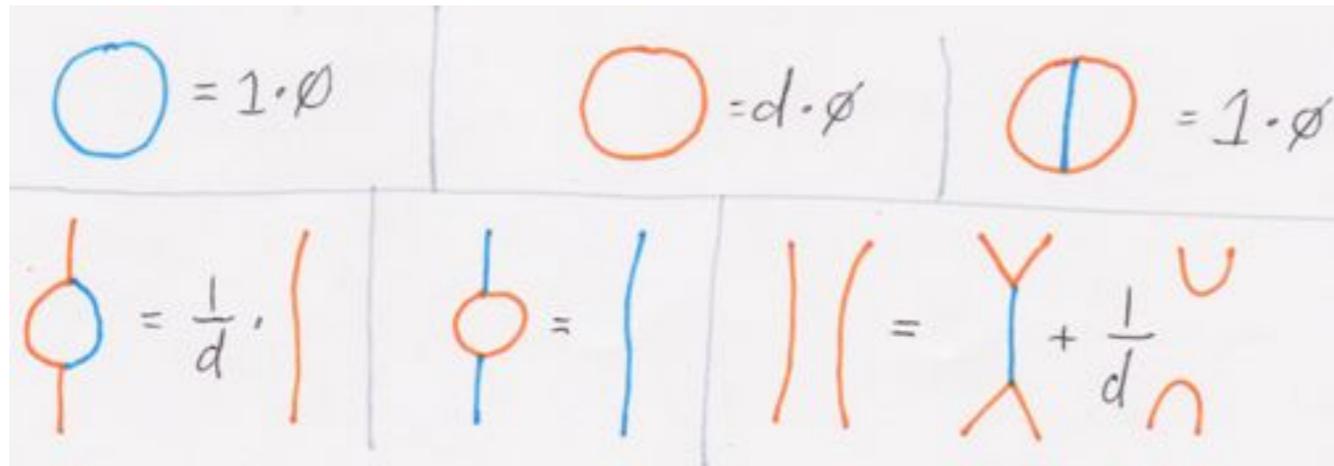
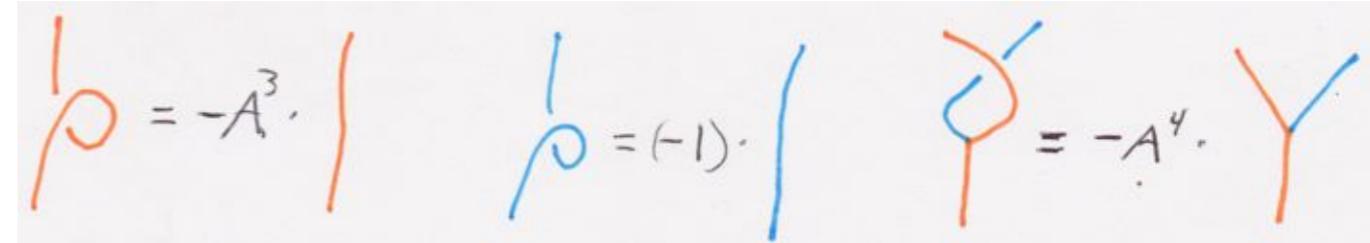
boundary theory for untwisted $n+1$ -dimensional DW theory



n-dimensional SET

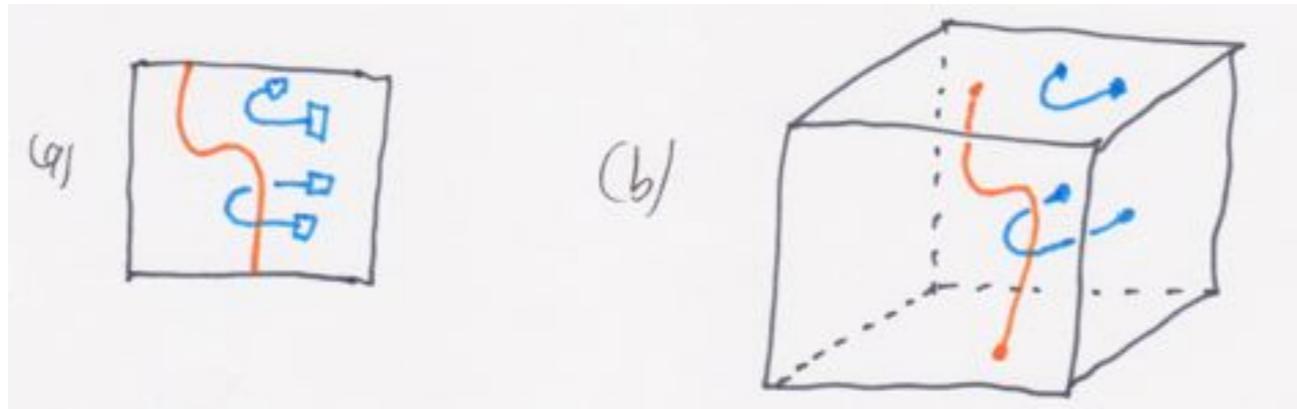
Defects with spin structures and condensing fermions

- Recall the Ising TQFT (evil twin of $SU(2)_2$).

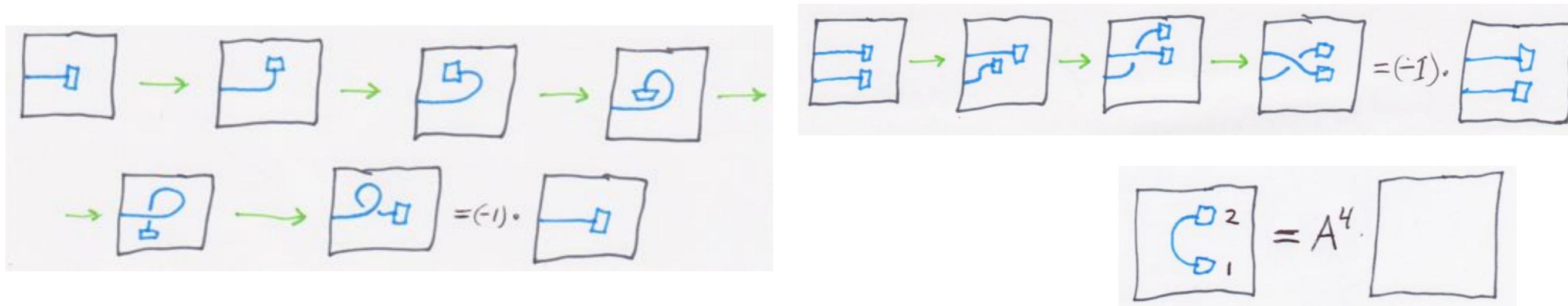


- The 1 and ψ particles form a subcategory. We would like to condense ψ , i.e. add morphisms to our category which force $\psi \cong 1$.
- But ψ is fermionic (in two different senses), so this will not be straightforward.

- Also, ψ is not transparent, so we expect that after condensing we will have a 2D system rather than a 3D system. (Actually, we'll get a 2.5D system in some sense.)
- We will allow ψ particles to appear from or disappear into a designated “back wall” (codimension-1 defect to the vacuum).

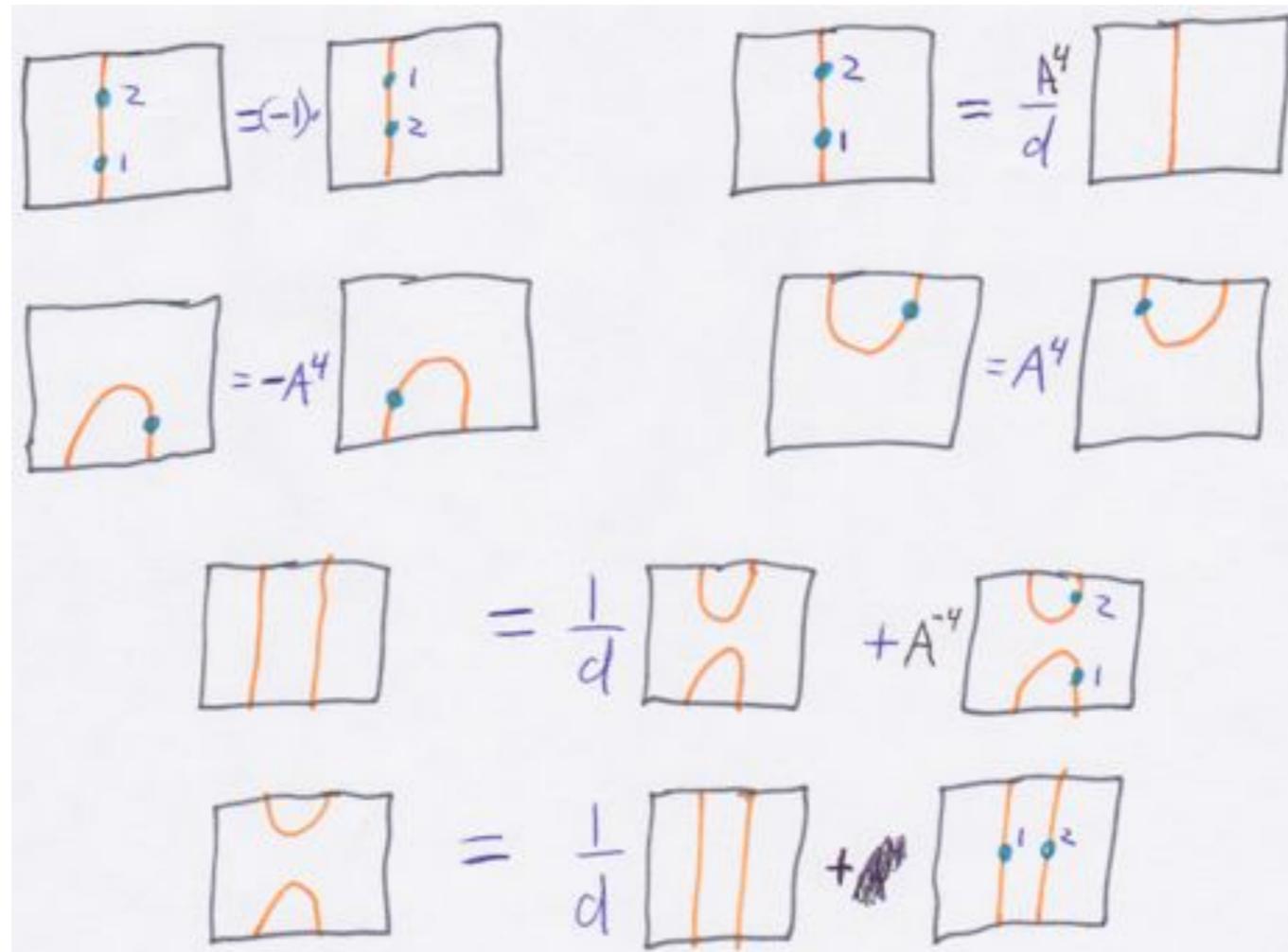
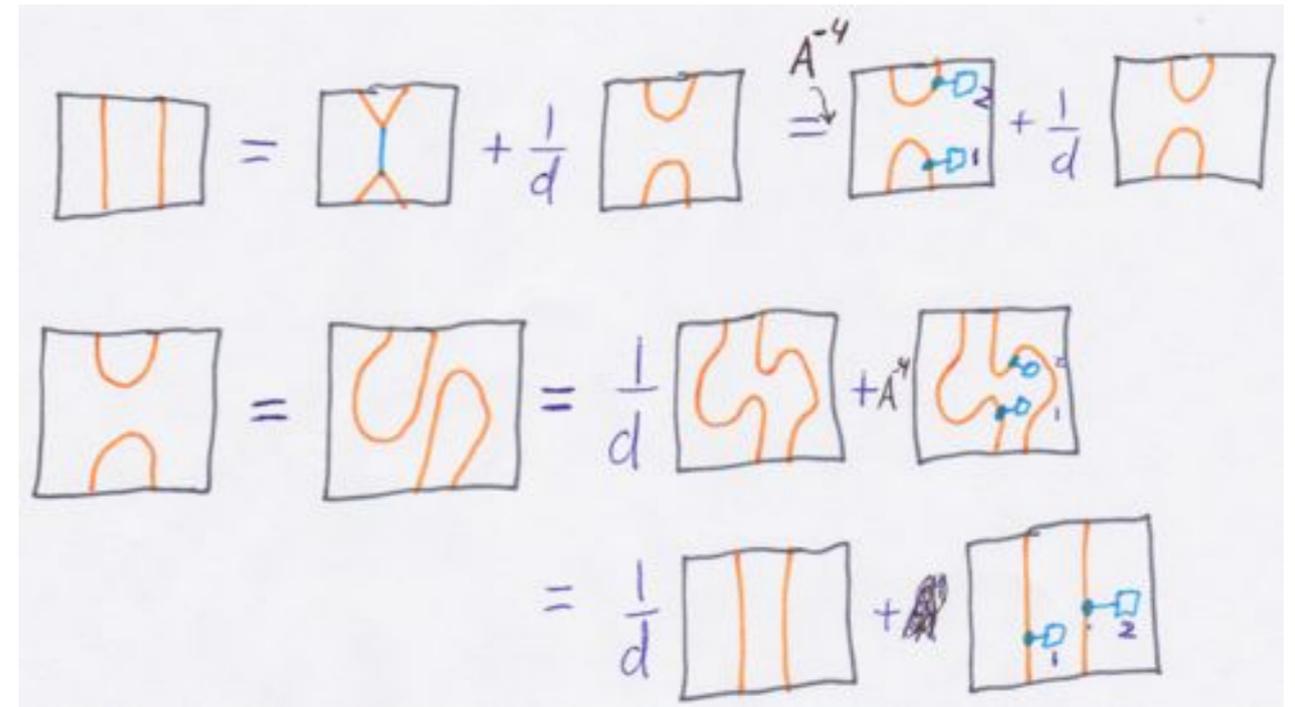
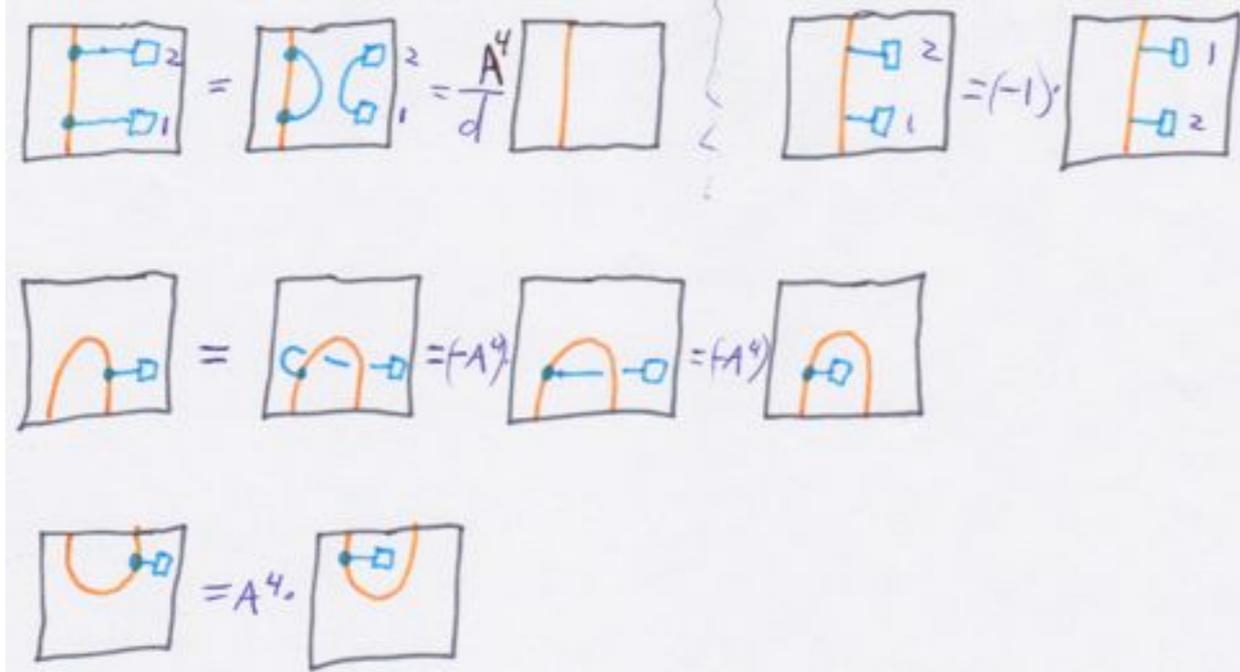


- Because of the fermionic nature of ψ , the back wall, W , will have to carry some state information which cancels out the factors of -1 associated to ψ . More specifically, we will need a flat line bundle over the configuration space of framed ψ -endpoints in W .



- To define this flat line bundle, we must equip W with a spin structure.

- We can now shrink the third dimension and this on this as a 2D system – a super pivotal category (super 2-category).



- This category has only one non-trivial simple object, β (the image of σ in the quotient).
- But β is not so simple! It's endomorphism algebra is $Q \cong cl(1) \cong \mathbb{C}^{1/1}$.



Commuting projection hamiltonian

- We can follow the standard procedure and construct a commuting projection hamiltonian for this super TQFT.
- There is one new feature in the super case. We must replace

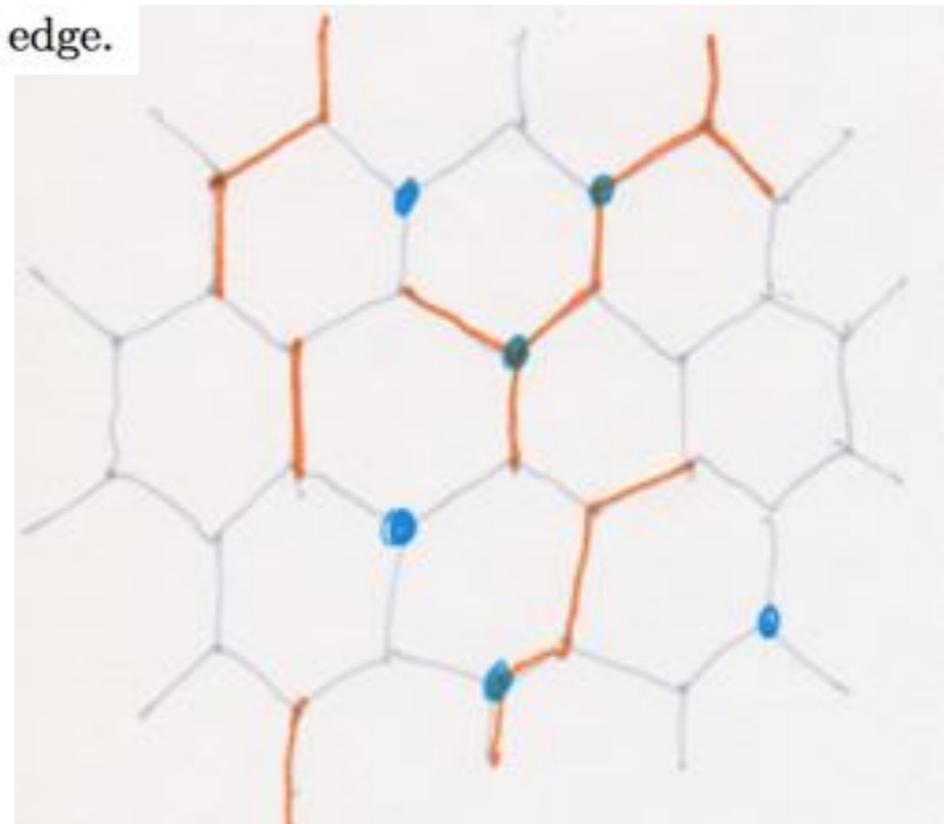
$$V_{abcd} \cong \bigoplus_x V_{abx} \otimes_{\mathbb{C}} V_{xcd}$$

with

$$V_{abcd} \cong \bigoplus_x V_{abx} \otimes_{\text{End}(x)} V_{xcd},$$

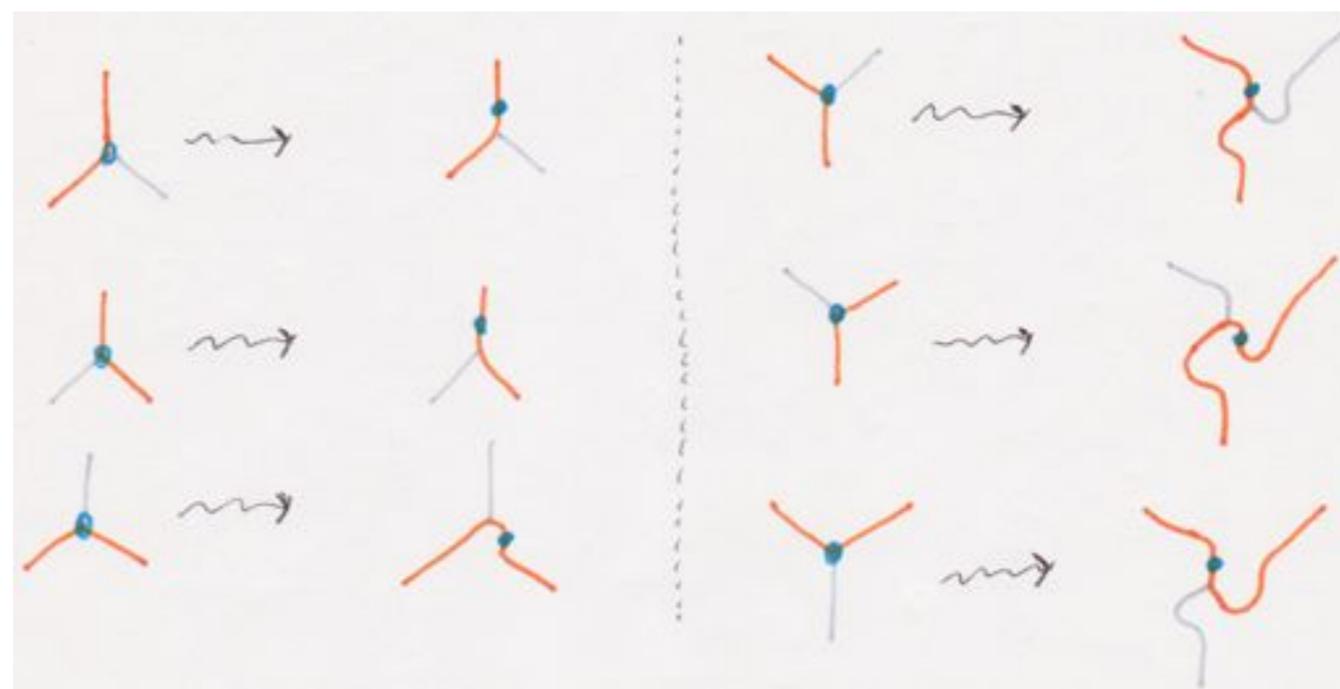
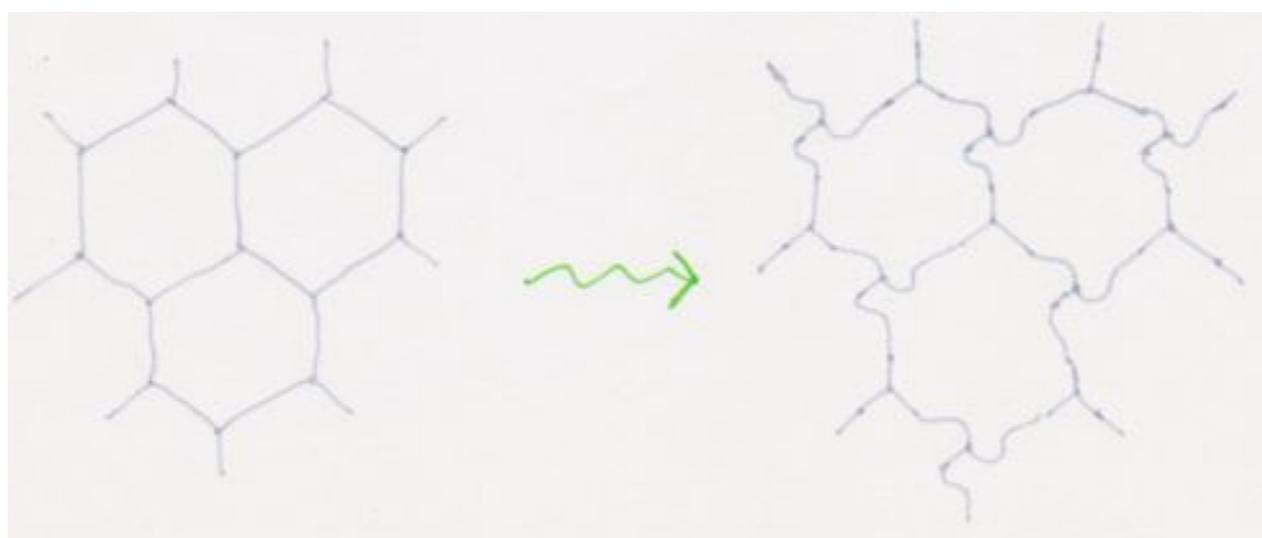
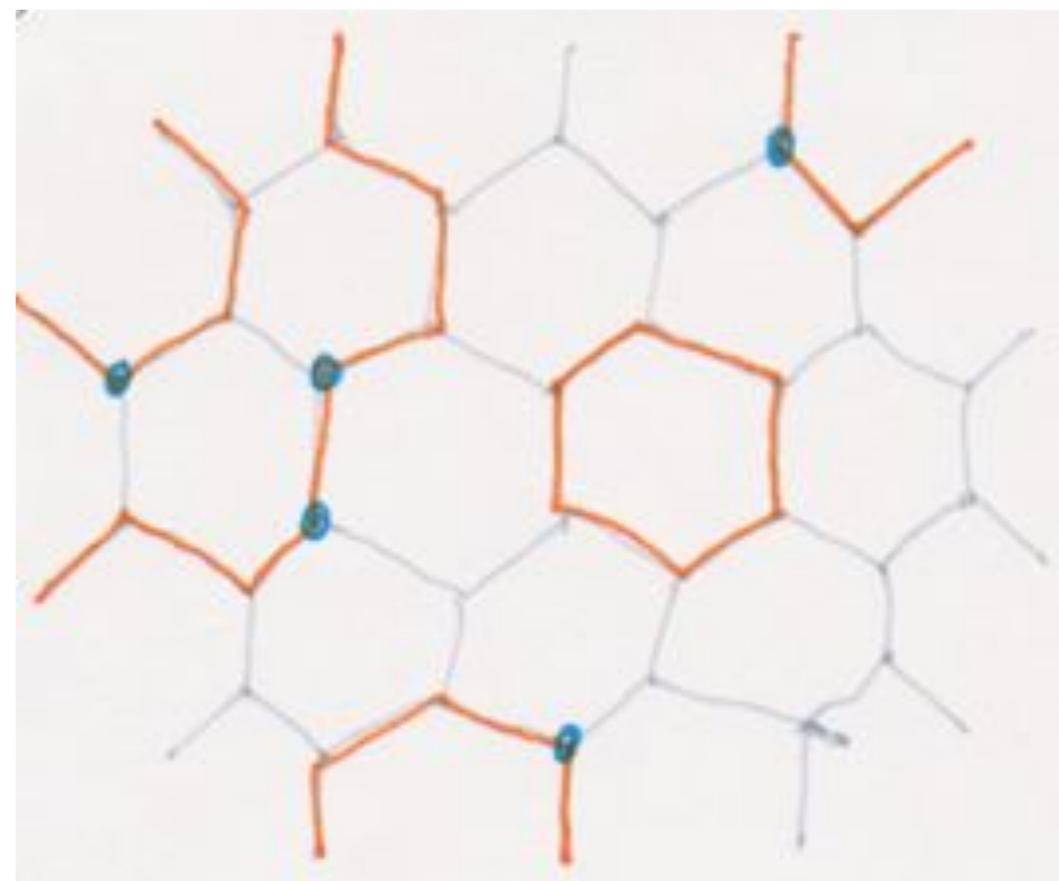
and corresponding changes must be made for 6j-symbols, pentagon identities, etc.

- The Hamiltonian has a spin at each vertex and a spin at each edge.

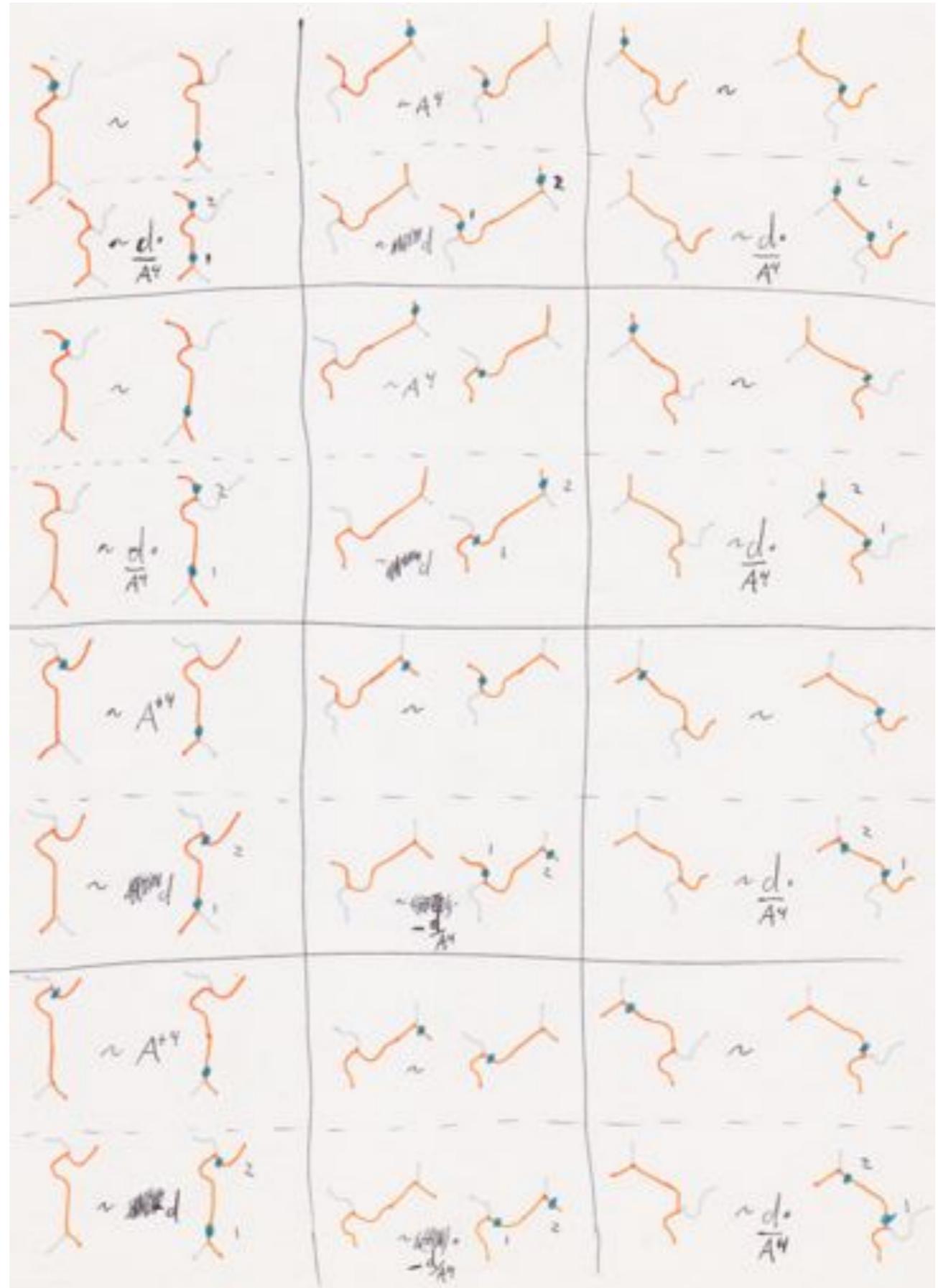


- There are (boring, bookkeeping) vertex terms in the hamiltonian which enforce β -parity and make sure the dots only appear on β -arcs.

Before proceeding we must be more careful about framings



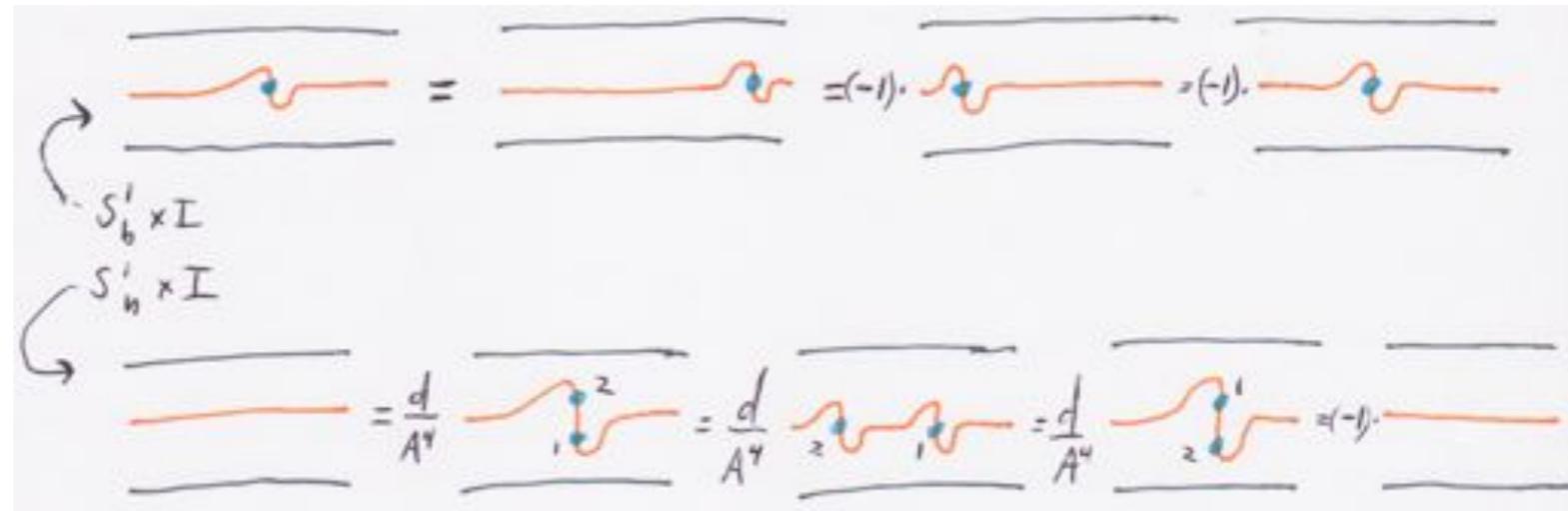
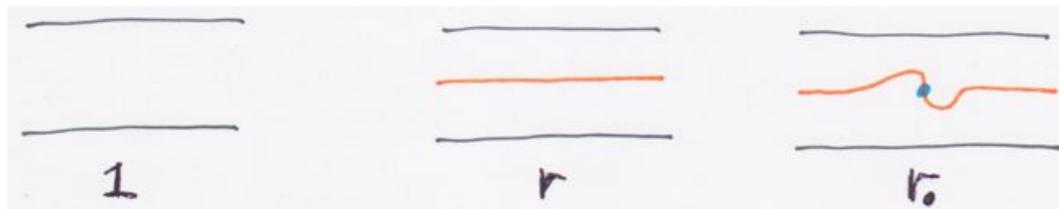
- The new feature of the hamiltonian are the edge terms which allow the dots to move along the β -arcs. These terms implement a tensor product over $\text{End}(\beta)$. We must keep careful track of framings and phase factors.



The 2-cell (placket) terms are similar to the usual Levin-Wen version. The main complication is that we must keep careful track of rotations and orderings of 3-valent vertices

What are the anyons in this system?

- Recall that anyon types biject with irreducible representations of $A(S^1)$, but with spin structures there are two circles S_b^1 (non-vortex) and S_n^1 (vortex).



- $A(S_b^1; \emptyset, \emptyset) \cong \mathbb{C}^{2/0}$, so we get two M -type (ordinary) anyons.
- $A(S_n^1; \emptyset, \emptyset) \cong \mathbb{C}^{1/1}$, so we have a single Q -type anyon.

$$m_i = \frac{1}{2} \left(\begin{array}{c} \text{---} \\ \text{---} \end{array} + \frac{1}{d} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array} \right) \leftarrow S_b^1 \times I$$

$$m_\psi = \frac{1}{2} \left(\begin{array}{c} \text{---} \\ \text{---} \end{array} - \frac{1}{d} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array} \right) \leftarrow S_b^1 \times I$$

$$q_\sigma = \begin{array}{c} \text{---} \\ \text{---} \end{array} \leftarrow S_n^1 \times I$$

- $A(S_b^1; \beta, \beta) \cong A(S_n^1; \beta, \beta) \cong \mathbb{C}^{2/2}$, so as an algebra this is either $Q \oplus Q$ or $\text{End}(\mathbb{C}^{1/1})$.



- For S_n^1 , it's $Q \oplus Q$, and we get two more Q -type anyons.

$$q_1 = \frac{1}{2} \left(\begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} + A^3 \begin{array}{c} \text{Diagram 3} \\ \text{Diagram 4} \end{array} \right)$$

$$q_2 = \frac{1}{2} \left(\begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} - A^3 \begin{array}{c} \text{Diagram 3} \\ \text{Diagram 4} \end{array} \right)$$

← $S_n^1 \times I$

- For S_b^1 , it's $\text{End}(\mathbb{C}^{1/1})$, and we get a single equivalence class of M -type anyons (but two versions!). The two versions are **oddly** isomorphic.

$$m_0^+ = \frac{1}{2} \left(\begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} + A^3 \begin{array}{c} \text{Diagram 3} \\ \text{Diagram 4} \end{array} \right)$$

$$m_0^- = \frac{1}{2} \left(\begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} - A^3 \begin{array}{c} \text{Diagram 3} \\ \text{Diagram 4} \end{array} \right)$$

Fusion rules:

One can construct many different super TQFTs in this way, including a super version of the Fibonacci theory

	M_1	M_6	M_7	Q_1	Q_6	Q_7
M_1	M_1	M_6	M_7	Q_1	Q_6	Q_7
M_6	M_6	$\mathcal{C}^{Q_1, M_7} + \mathcal{C}^{M_6, M_1}$	\mathcal{C}^{Q_1, M_6}	Q_6	$Q_6 + Q_7$	Q_6
M_7	M_7	\mathcal{C}^{Q_1, M_6}	M_1	Q_7	Q_6	Q_1
Q_1	Q_1	Q_6	Q_7	M_1	M_6	M_7
Q_6	Q_6	$Q_1 + Q_7$	Q_6	M_6	$M_1 + M_7$	M_6
Q_7	Q_7	Q_6	Q_1	M_7	M_6	M_1

● = \mathcal{C}^{M_i}

- Note that if we restrict to non-vortices, the fusion rules (as well as twists and braidings, up to signs) are the same as in the original Ising TQFT. But this version has a purely 2D commuting projection hamiltonian